

Clinical Trial Using NIRS for Breast Imaging

Albert Cerussi
Beckman Laser Institute
University of California, Irvine

*M-CERSI International Workshop on
Tissue Phantoms and Standardization in Biophotonics
Wednesday, May 21st 2014*



Financial Disclosures

Nothing to disclose

Acknowledgements



DOSI Imaging: Anais LeProux, Albert Cerussi, Keunsik No, Brian Hill, Amanda Durkin, Tom O’Sullivan, Darren Roblyer, Sam Keene, Rob Warren, Alex Matlock, Soroush Zarandi, Hosain Hagany, Shigeto Ueda, Jing Liu, Ylenia Santoro, Shanshan Xu, Enrico Gratton, Bill Mantulin, Montana Compton, Sophie Chung, Tim Quang, Jason Ruth, Kia Koko, Natasha Shah, Dorota Jakubowski Wisner, Wendy Tanamai, Shwayta Kukreti, Ang Li

Chao Family Comprehensive Cancer Center: David Hsiang, John Butler, Rita Mehta, Phil Carpenter, Karen Lane, Alice Police

ACRIN Collaborators: David Mankoff, Nola Hylton, Dorota Wisner, Arjun Yodh, Mitch Schnall, Sophie Chung, Brian Pogue, Keith Paulsen, Peter Kaufman, Shudong Jiang, David Boas, Stefan Carp, Steve Isakoff, Wei Yang, Fraser Symmans, Erin Sullivan, Sharon Mallett, Donna Hartfeil, Zheng Zhang



Outline

- NIRS UC Irvine style (DOSI)
- What is the optical contrast of tumors?
- Why we care: What is the Clinical Need?
- What is the ACRIN #6691 Trial?
- How do we use phantoms in ACRIN 6691?
- What does the data look like?
- What are some future directions?



Just what in the world is DOSI?



What is DOSI?

DOSI Instrument



DOSI Measurement



like a MRS instrument with the spatial resolution and functionality of PET in the shape of an ultrasound

Bevilacqua, F., A. J. Berger, et al. (2000). Appl Opt 39(34): 6498-507.

Pham, T. H., O. Coquoz, et al. (2000). Review of Scientific Instruments 71(6): 2500-13.



What is DOSI?

- Diffuse
- Optical
- Spectroscopic
- Imaging

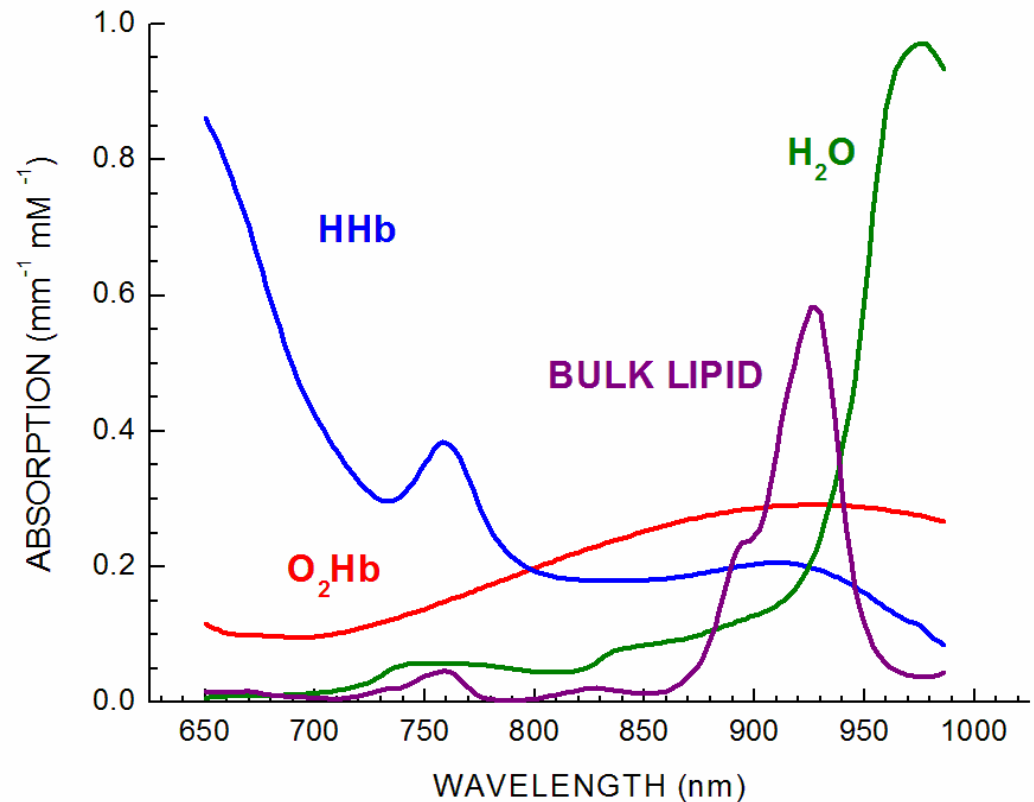


**Intense Multiple Scattering
“NIR” Window (650-1000 nm)**



What is DOSI?

- Diffuse
- Optical
- **Spectroscopic**
- Imaging

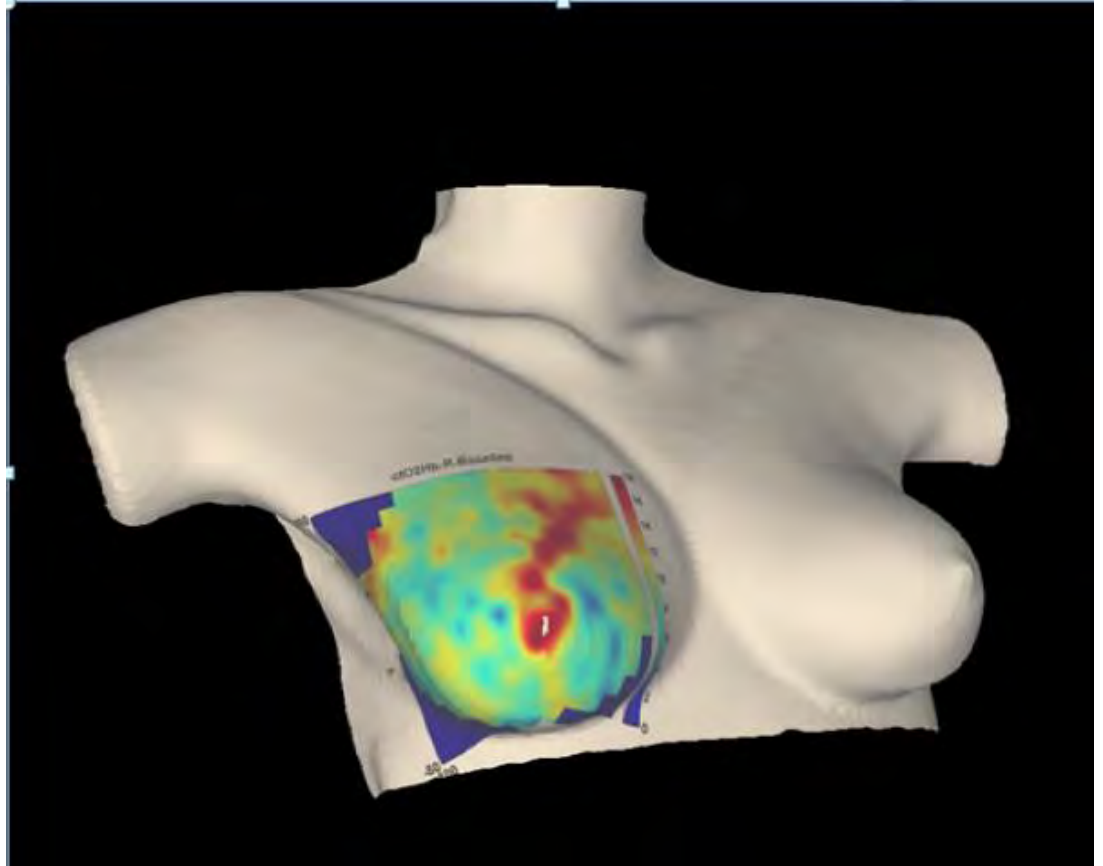


**Molecular targets
(concentration & disposition)**



What is DOSI?

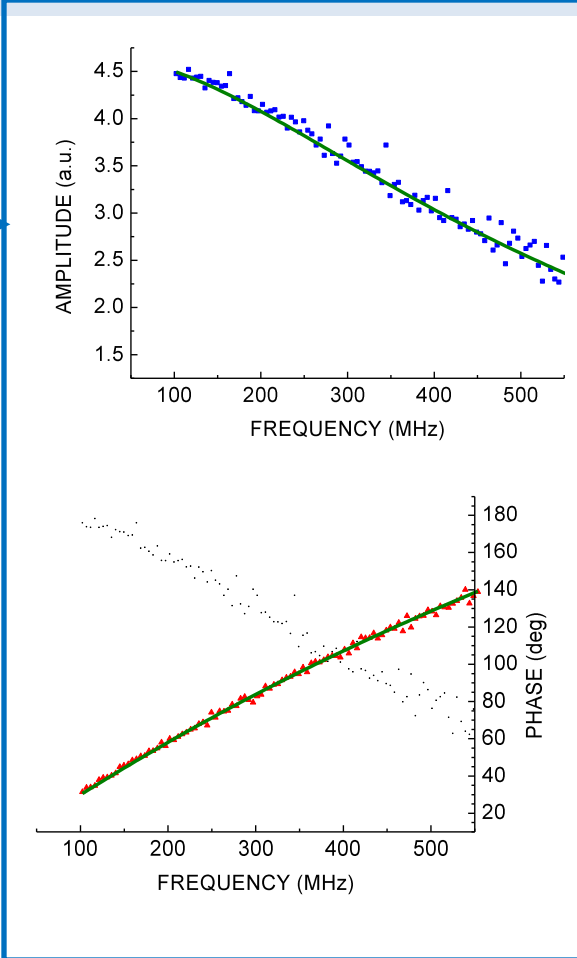
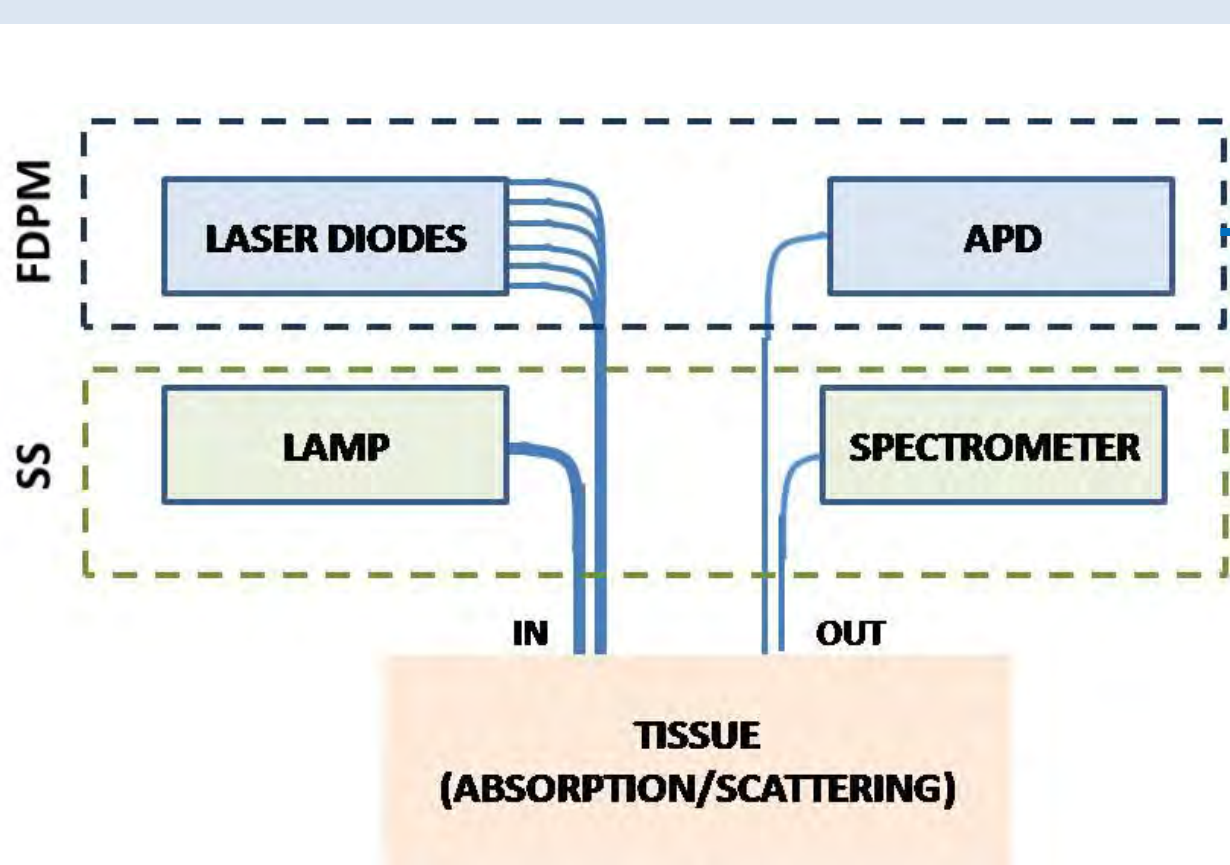
- Diffuse
- Optical
- Spectroscopic
- **Imaging**



How does DOSI work?

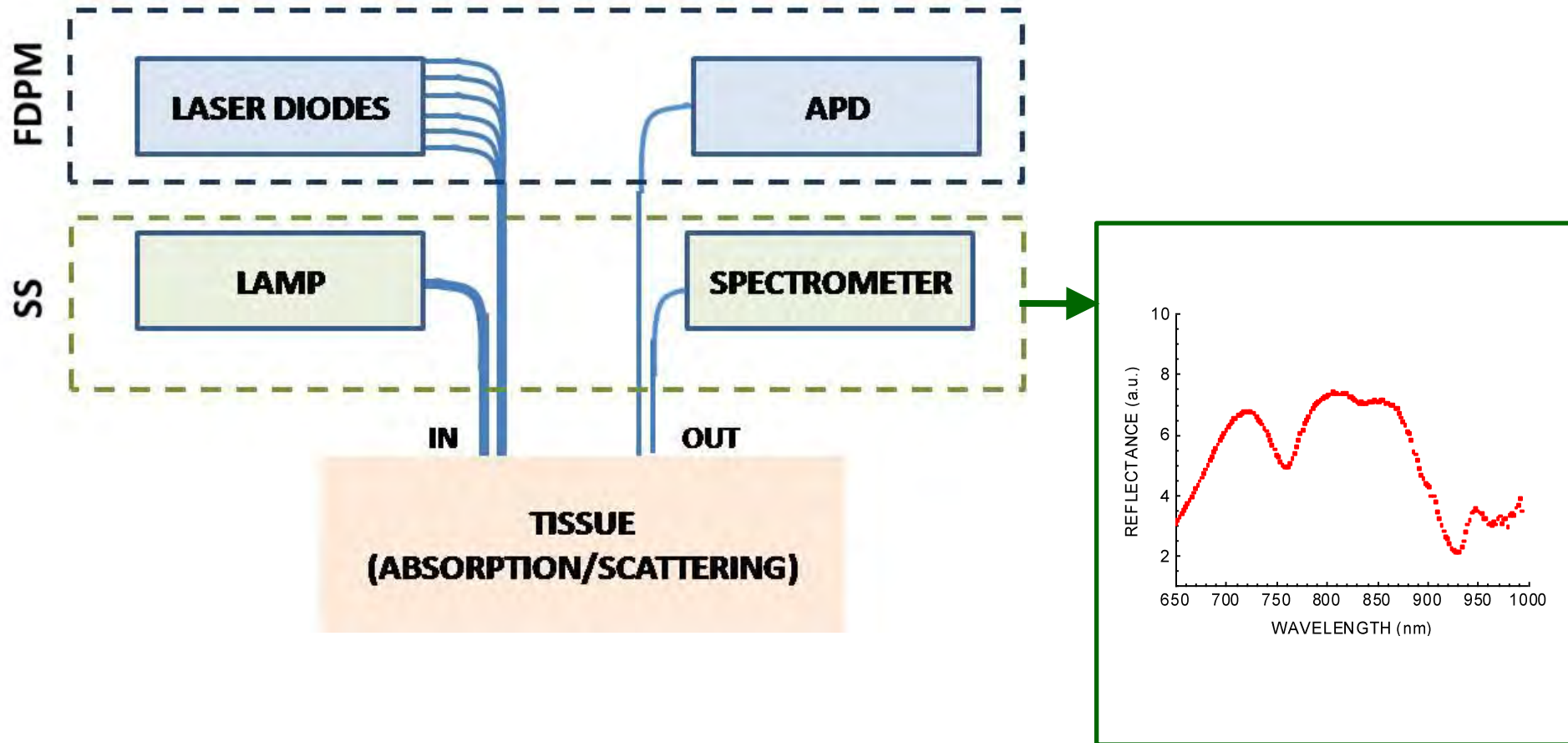


How does DOSI work?



Bevilacqua, F., A. J. Berger, et al. (2000). *Appl Opt* **39(34)**: 6498-507.

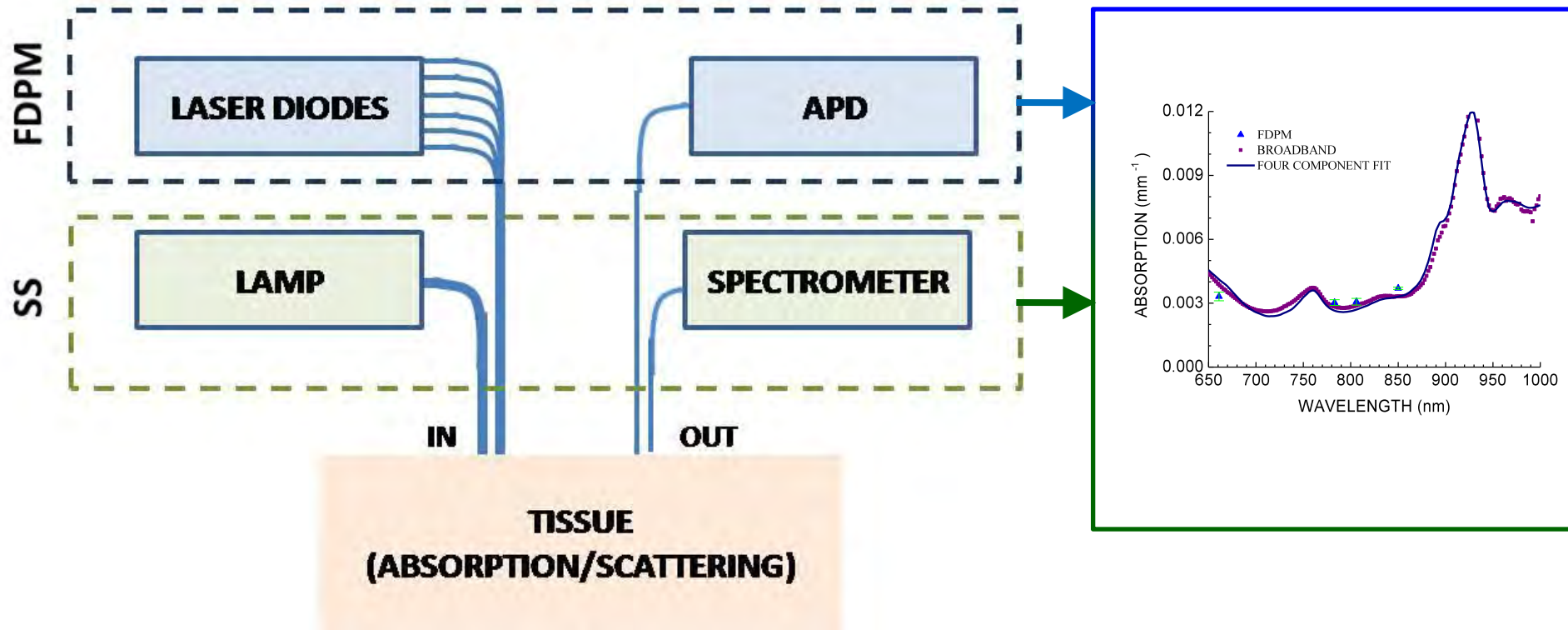
How does DOSI work?



Bevilacqua, F., A. J. Berger, et al. (2000). Appl Opt 39(34): 6498-507.



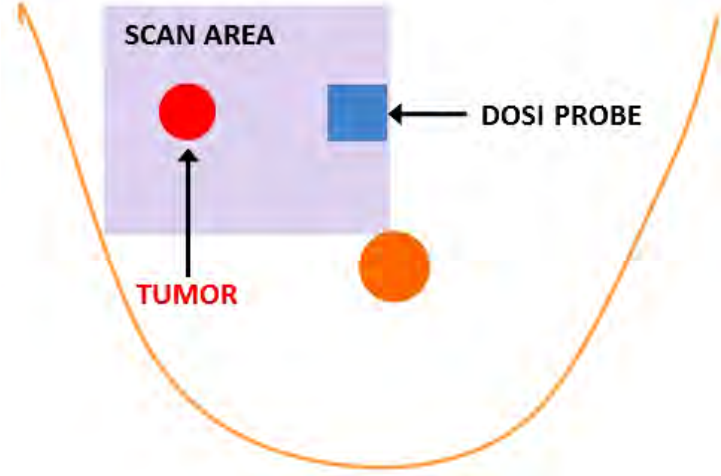
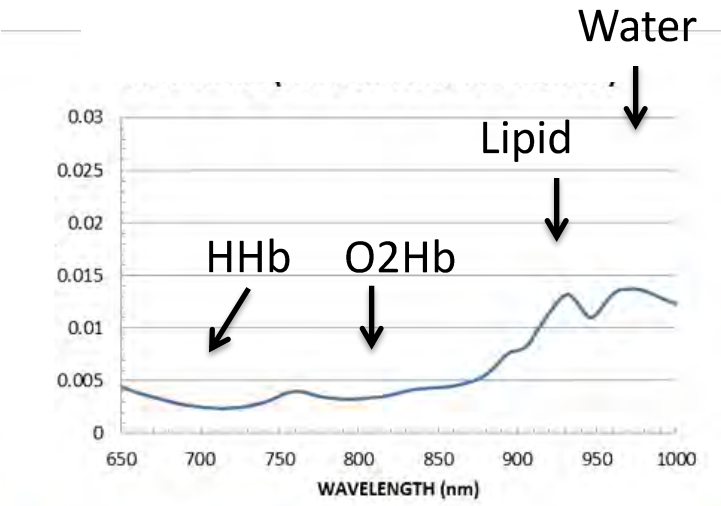
How does DOSI work?



Bevilacqua, F., A. J. Berger, et al. (2000). *Appl Opt* **39(34): 6498-507.**

How does DOSI work?

Making The Body a "Cuvette"

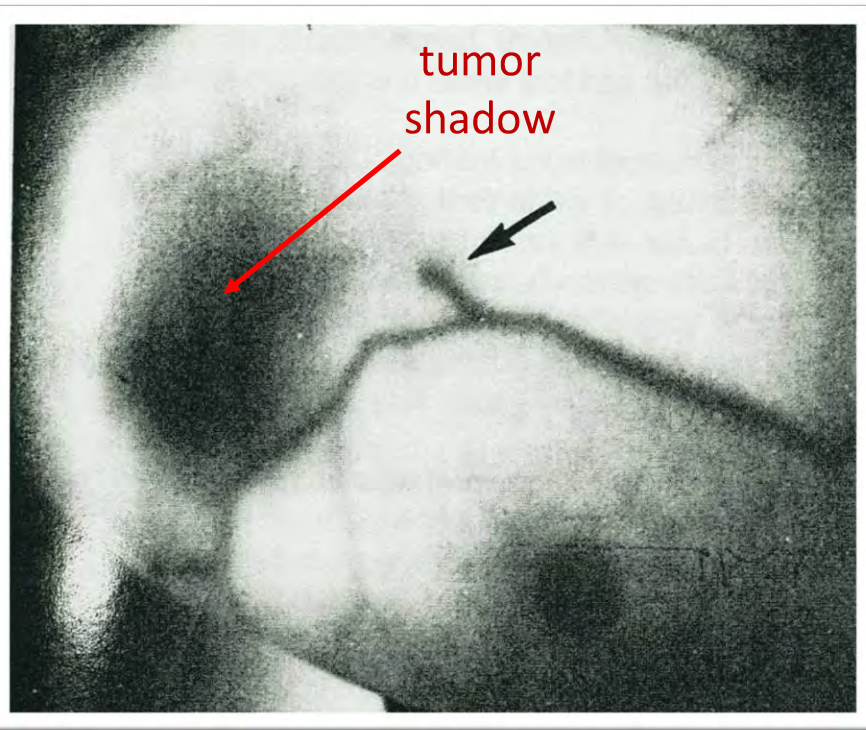


What is the optical contrast of tumors?



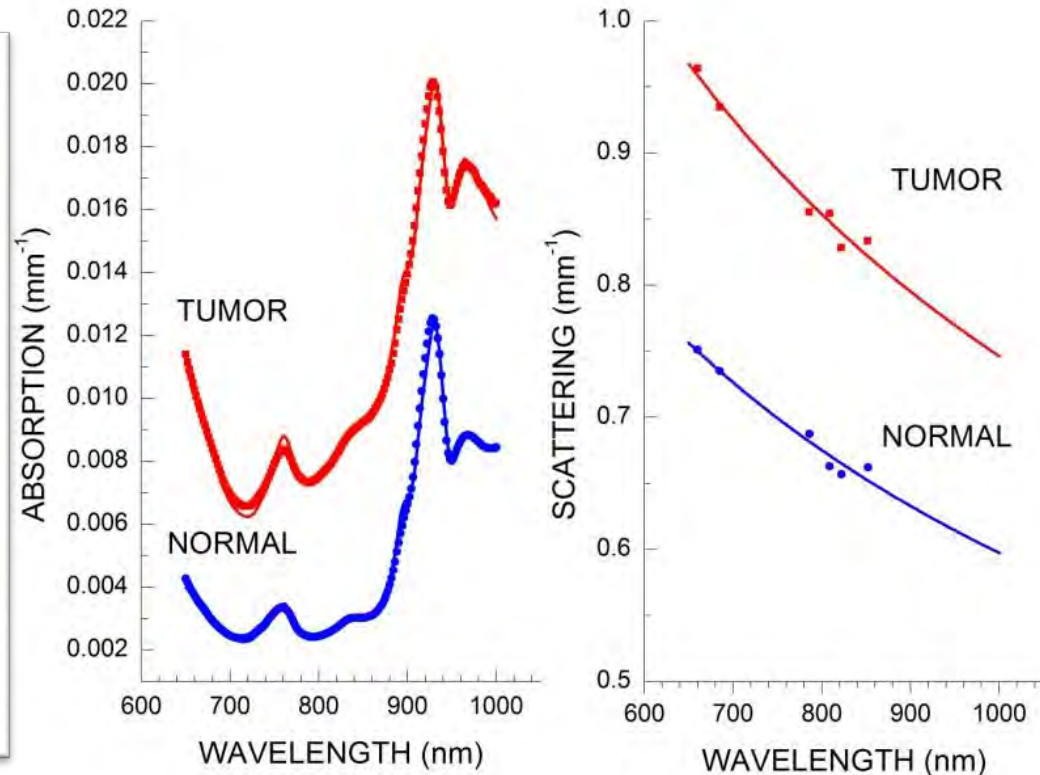
Tumors Generate Optical Contrast

Diaphanography



E. Sickels, AJR 142, 841-4 (1984)

DOSI



*Cerussi, A., D. Hsiang, et al. (2007).
Proc Natl Acad Sci U S A 104(10): 4014-9.*



Tumors Generate Optical Contrast

Cancer

Elevated blood

- Increased tumor vascularity, metabolism detected by elevated THb, O₂Hb and HHb

Elevated water

- Tumor edema and tumor cell proliferation lead to increased water content

Decreased lipid

- Tumors displace bulk lipid when growing leading to decreased lipid content

Universal finding: THb increases

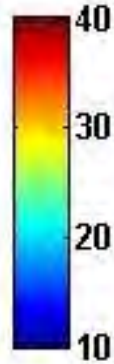
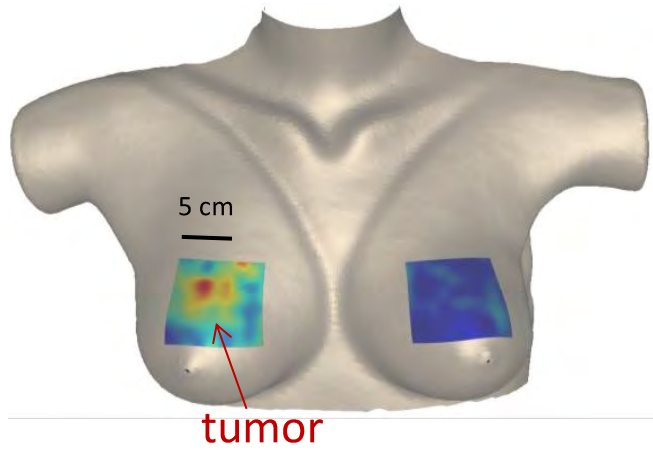


Tumors Create Optical Contrast

6691-09

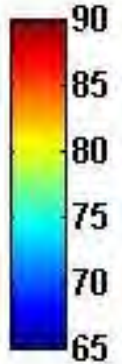
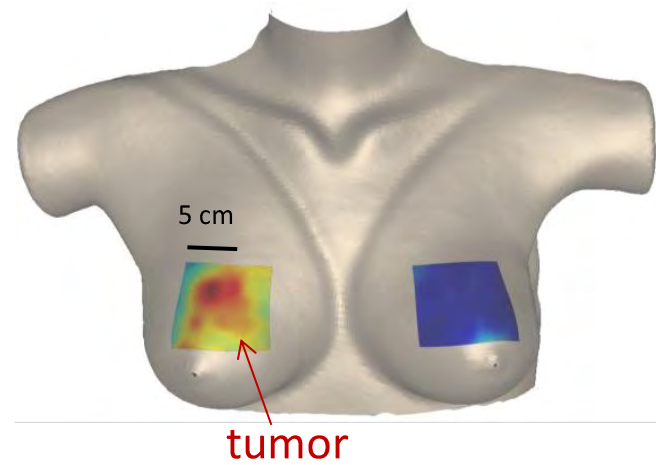
Oxy-Hemoglobin

μM



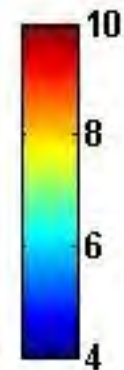
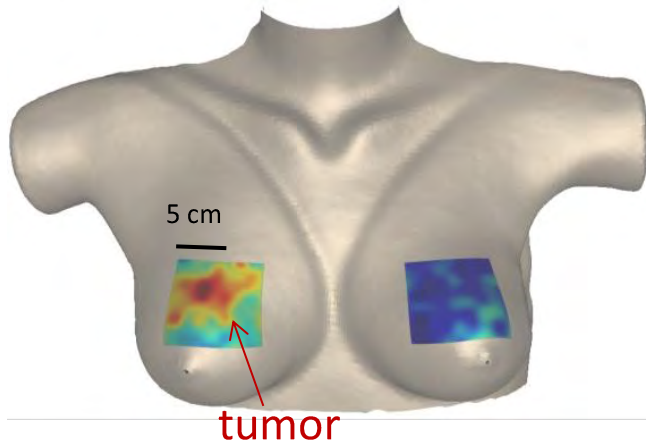
Water

%



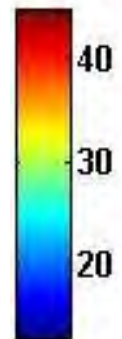
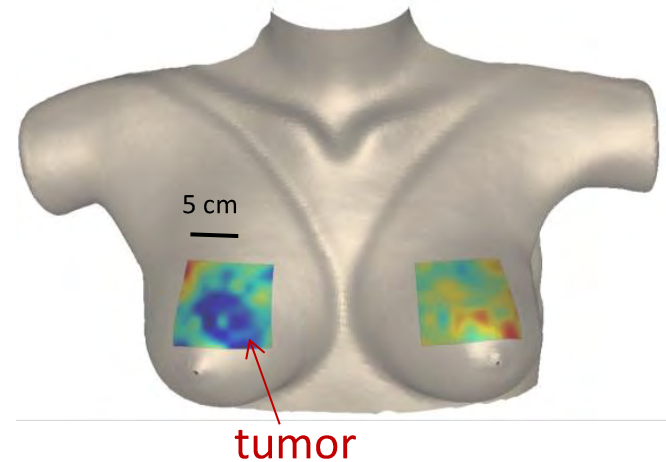
Deoxy-Hemoglobin

μM



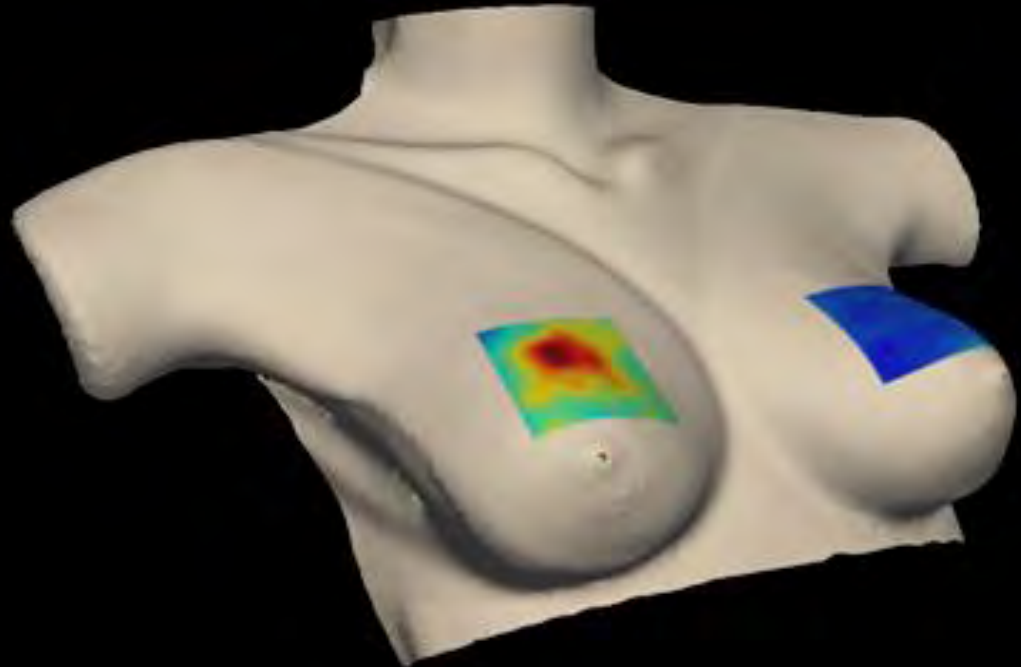
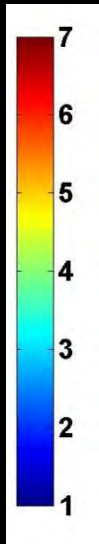
Lipid

%



Tumors Create Optical Contrast

Tissue Optical Index (TOI) =
 $(\text{HHb} \times \text{H}_2\text{O})/\text{lipid}$



ACRIN 6691-09



**Why does this matter?
What is the clinical need?**



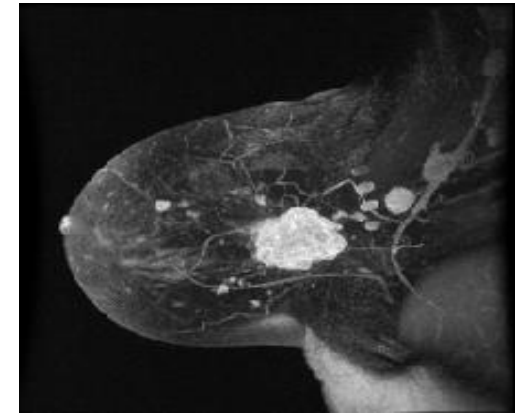
Pre-Surgical Neoadjuvant Chemotherapy

Treat Locally Advanced Disease



- Stage II-IV tumors
- ~20% of BrCa

~6 months Chemo



DCE-MRI: N. Hylton, UCSF

- Shrink tumors: *improve surgical outcome*
- Begin Tx of possible nodes/metastasis
- **Complete path response (pCR): increase 5 yr survival**

But...

~1/5 Don't Respond to Chemo

~1/3 achieve pCR



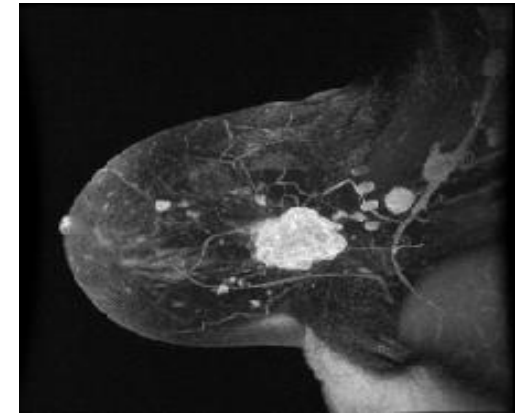
Pre-Surgical Neoadjuvant Chemotherapy

Treat Locally Advanced Disease



- Stage II-IV tumors
- ~20% of BrCa

~6 months Chemo



DCE-MRI: N. Hylton, UCSF

Clinical Need:

Predict path complete response (pCR) as early as possible

Impact:

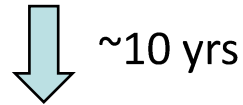
Customize Treatment for Individuals



Cancer Imaging

X-ray, MR, Nuclear, US...(Optical)

2004: D. B. Jakubowski, et al, 'Monitoring Neoadjuvant Chemotherapy in Breast Cancer Using Quantitative Diffuse Optical Spectroscopy: A Case Study', *Journal of Biomedical Optics*, 9 (2004), 230-38.



~30 Publications: Penn, Dartmouth, MGH, UConn, Toronto, UC-London, UCI;
(Saitama-Japan, Columbia-NYC, Berlin)



What is the ACRIN #6691 Trial?



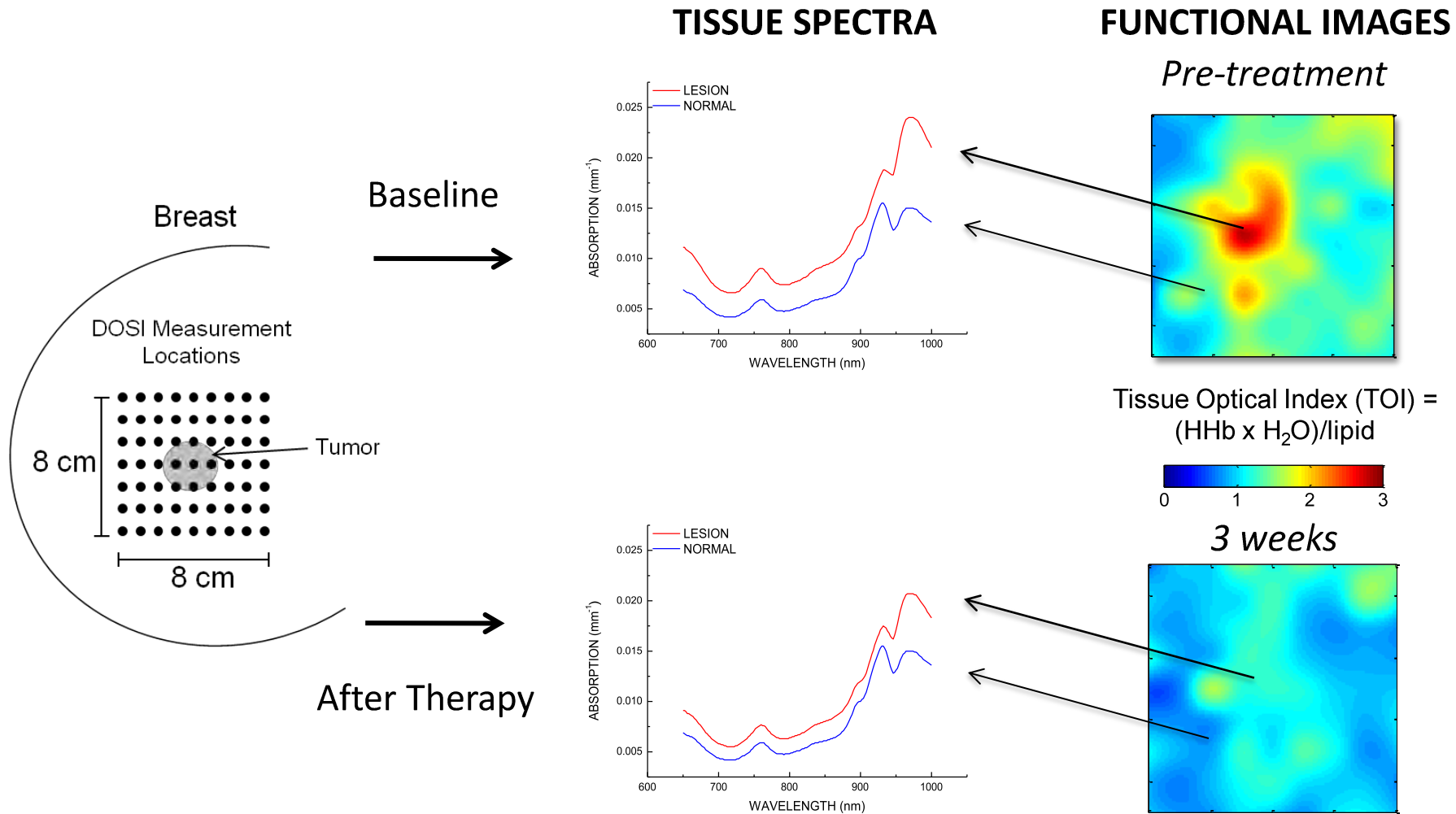
ACRIN Trial #6691

Monitoring and Predicting Breast Cancer Neoadjuvant Chemotherapy Response Using Diffuse Optical Spectroscopic Imaging (DOSI)

PI: Bruce Tromberg, PhD
NTROI – NCI sponsored Network



ACRIN #6691: Hypothesis



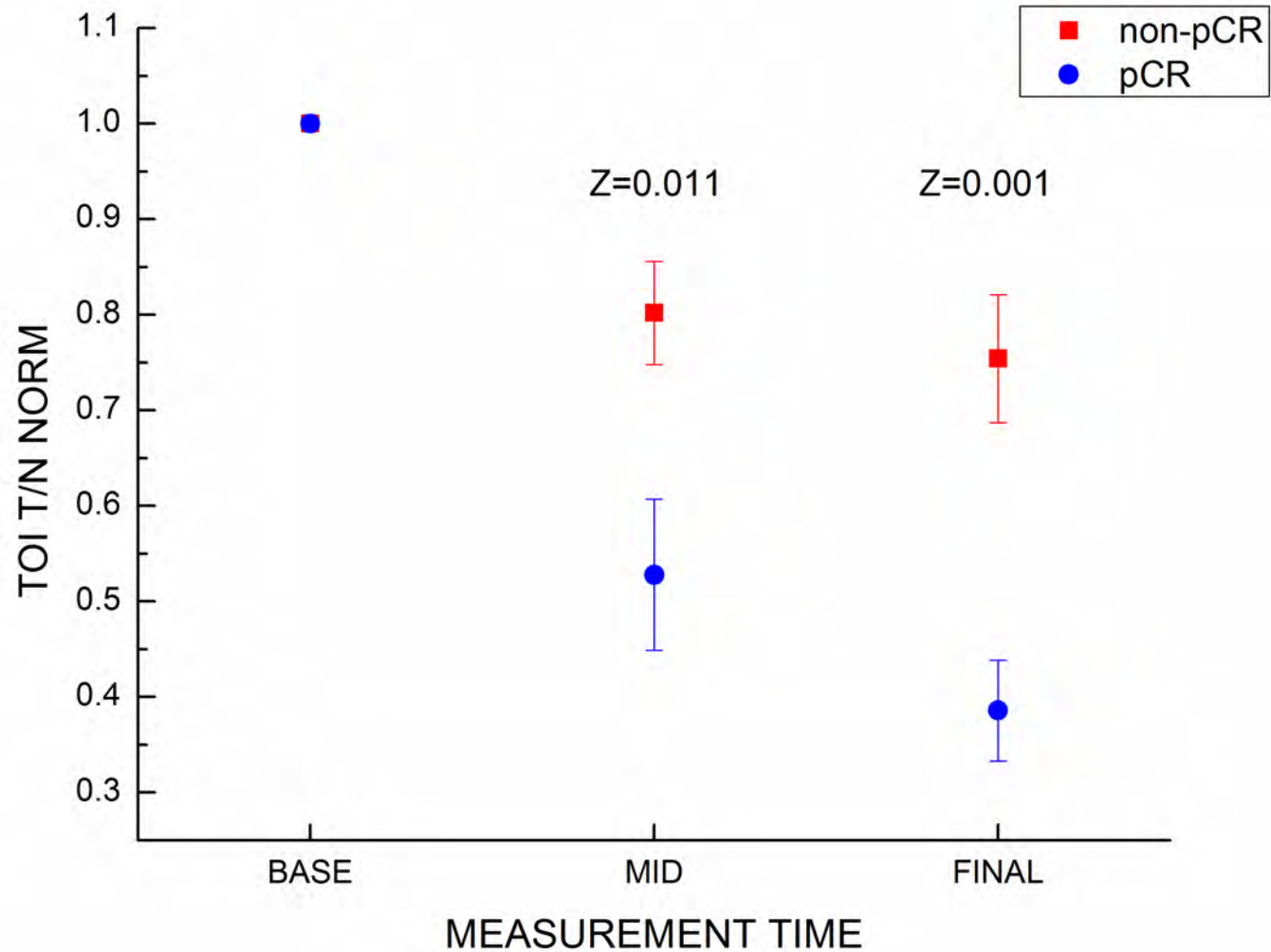
Seriously, they let you do this?



Supporting Data for ACRIN

ALL TREATMENTS
(N=36)

$\frac{\text{T/N @ Stage}}{\text{T/N @ BASE}}$



Cerussi, A. E., D. Hsiang et al. Phil Trans Roy Soc A, 369(1995), 2011



ACRIN #6691: Study Aims

1st Standardized ACRIN Trial Using Optical Imaging
(New expertise to ACRIN; leverage MRI, PET experience)

1) Standardize DOSI measurements, analysis

- Determine inter-site “kappa”
- UCI, UCSF, Dartmouth, Penn, MGH (identical platforms)

2) Perform DOSI clinical measurements (open regimen)

- Multiple DOSI time points (*Base, 1 Week, Mid, Final*)
- Average ~6 subjects/site/yr = 30 x 2 yrs = 60 subjects
- **End point: Pathological response**



ACRIN: David Mankoff, Zheng Zhang, Donna Hartfeill, Sharon Mallett

UCSF

Nola Hylton,
Dorota Wisner

U.C. Irvine

Albert Cerussi
Philip Carpenter
Rita Mehta,
John Butler



Dartmouth

Brian Pogue
Keith Paulsen
Shudong Jiang
Peter Kaufman

MGH

David Boas,
Stefan Carp
Steven Isakoff

Penn

Arjun Yodh
Mitch Schnall
Sophie Chung

DOSI Systems

LBS4 (UCSF)



LBS5 (UCI)



LBS7 (DART)



LBS8 (PENN)



LBS9 (MGH)





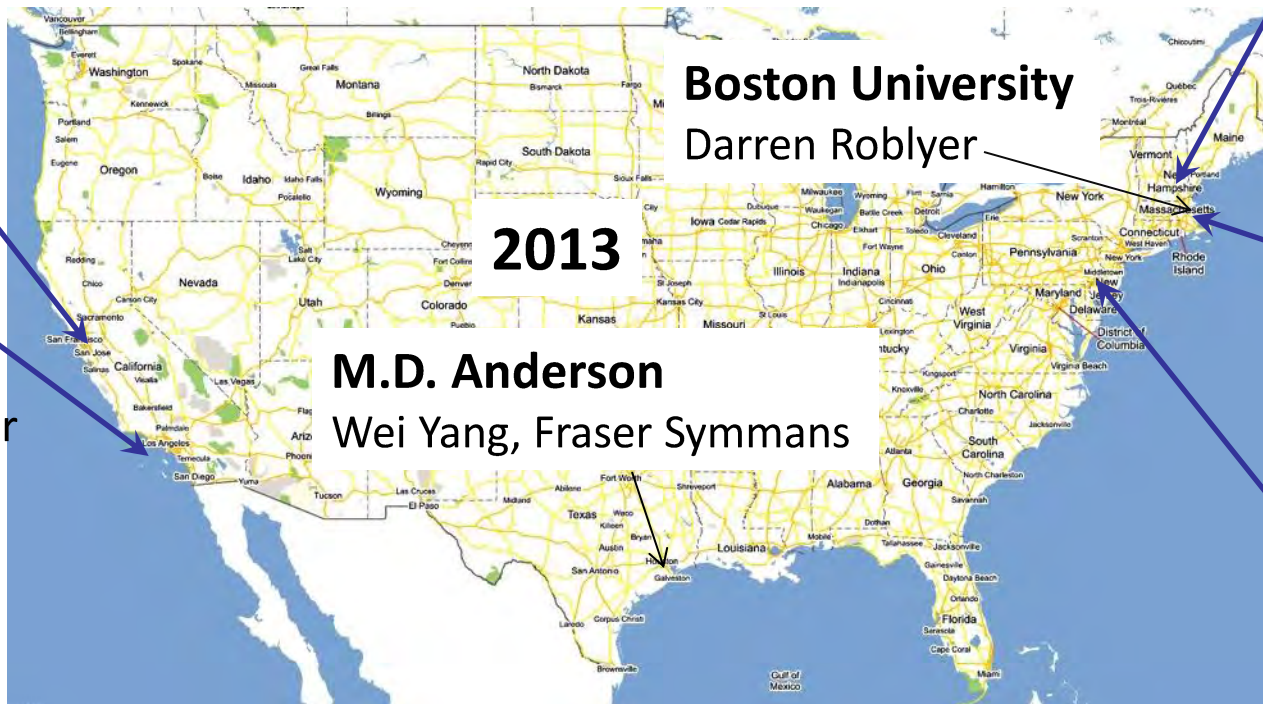
ACRIN: David Mankoff, Zheng Zhang, Donna Hartfeill, Sharon Mallett

UCSF

Nola
Hylton,
Dorota
Wisner

U.C. Irvine

Albert Cerussi
Philip Carpenter
Rita Mehta,
John Butler



DOSI Systems

LBS4 (UCSF)



LBS5 (UCI)



LBS7 (DART)



LBS8 (PENN)



LBS9 (MGH)

2013: in MDACC, BU, (Saitama Japan, Dankook)



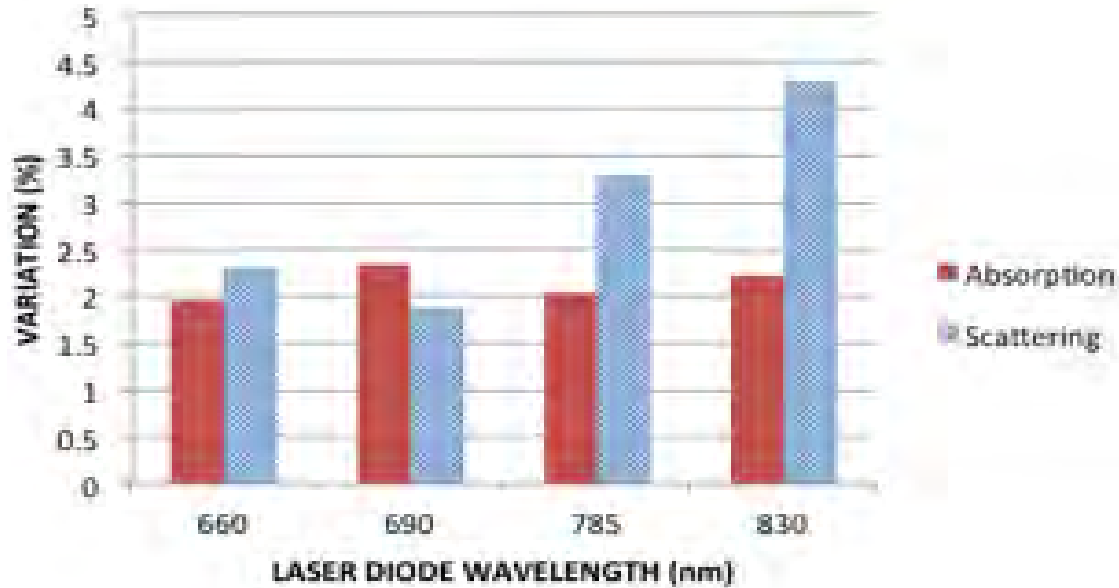
2013: in Ivory Coast, Africa

Seriously, they let you do this?

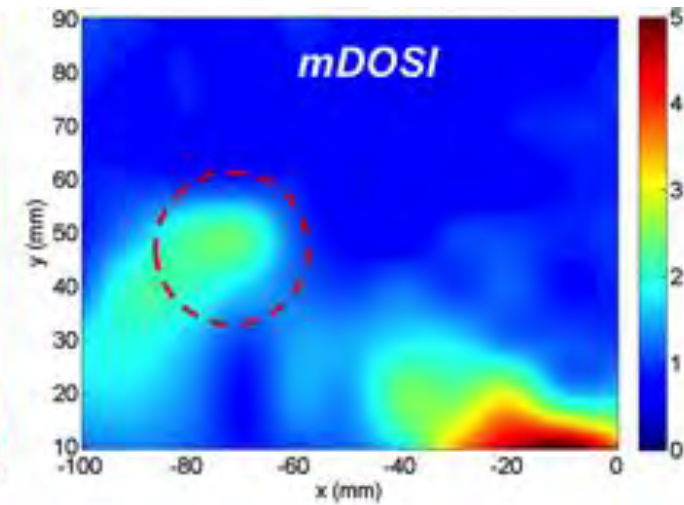
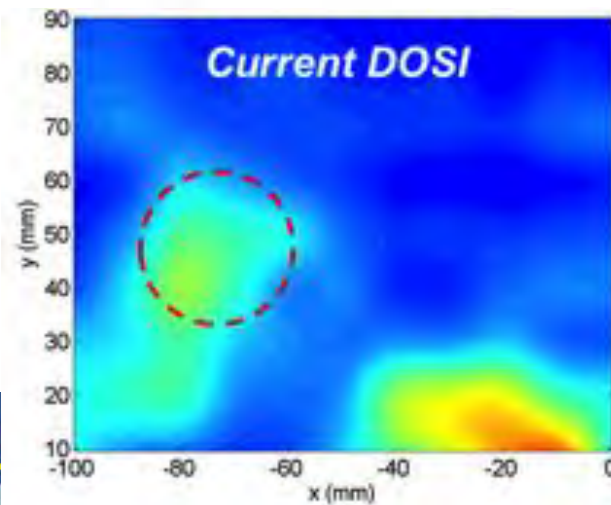


Comparison

FDPM OPTICAL PROPERTIES



2D BREAST TUMOR MAPS



How we use phantoms in ACRIN 6691



How we use phantoms

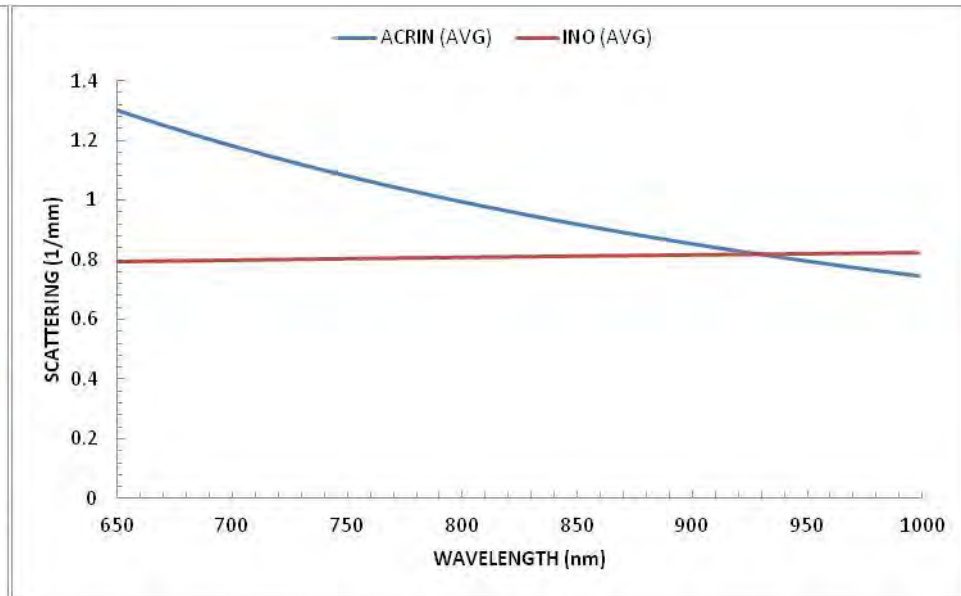
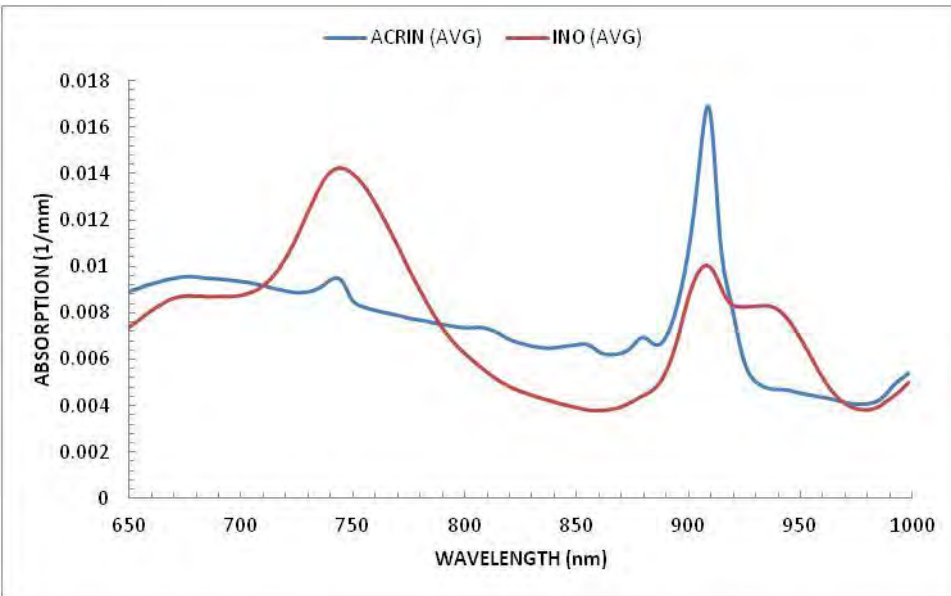
- System Calibration
- Instrument performance/validation
- training

ACRIN 6691 Phantoms

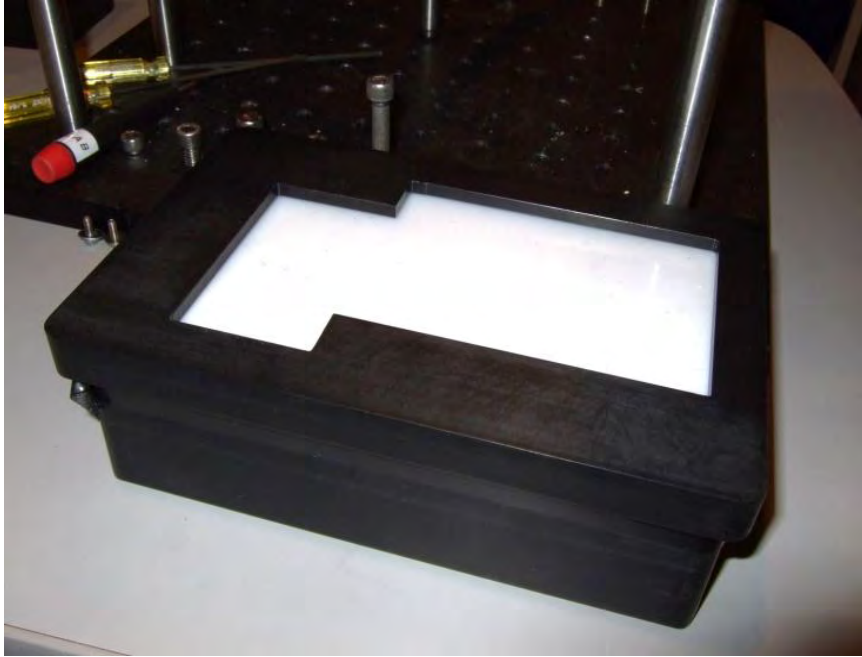
- Phantom A
 - Calibration phantom (UC Irvine)

- Phantom B
 - Cross-check phantom (INO)
 - Backup calibration

Meet our phantoms

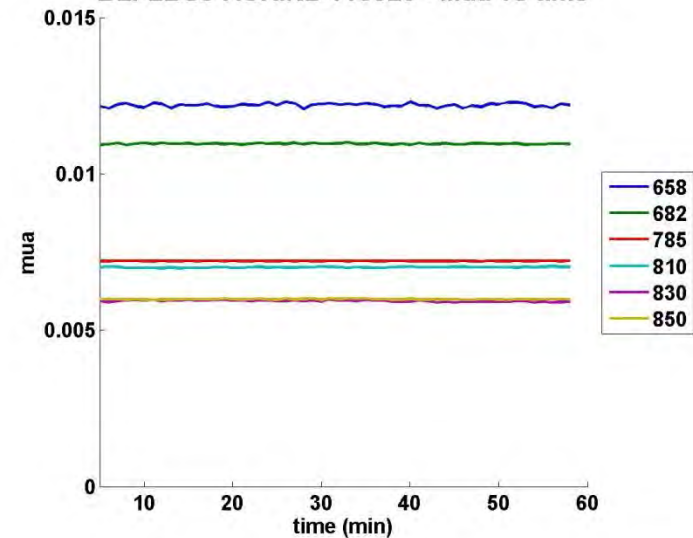


ACRIN 6691 Calibration

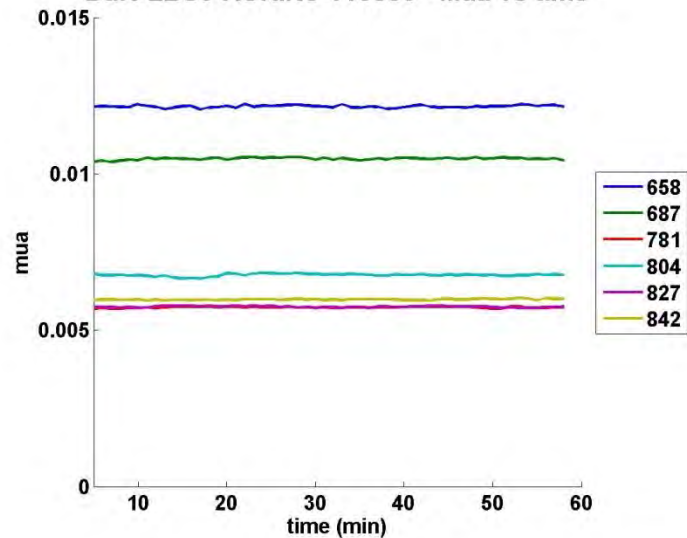


ACRIN 6691 Calibration

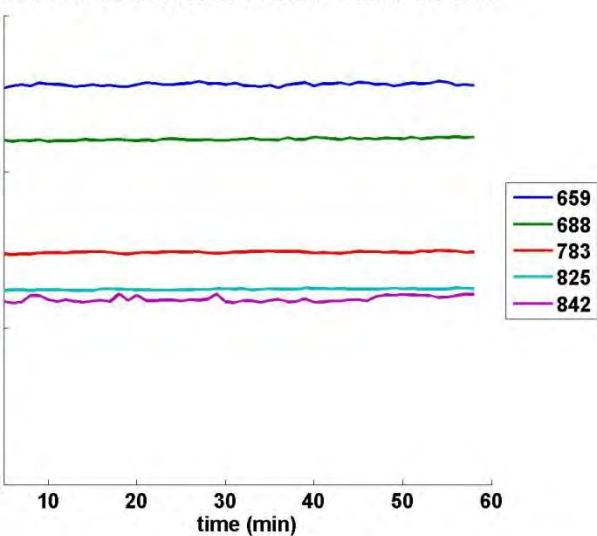
BLI-LBS5-ACRIN2-110829 - Mua vs time



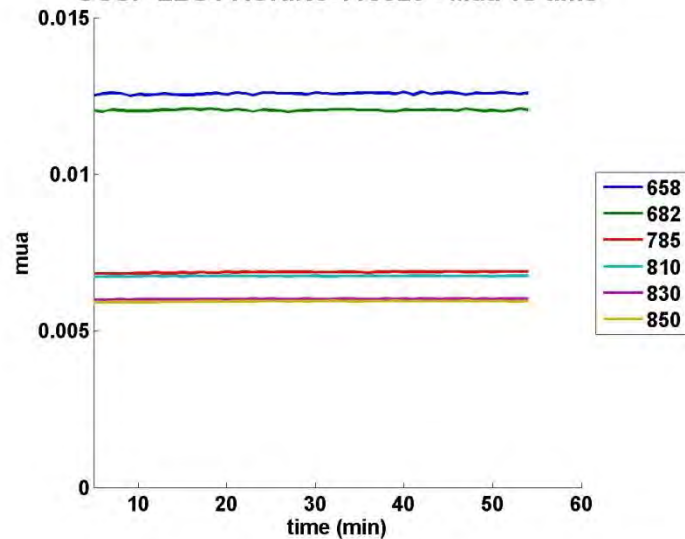
Dart-LBS9-ACRIN5-110830 - Mua vs time



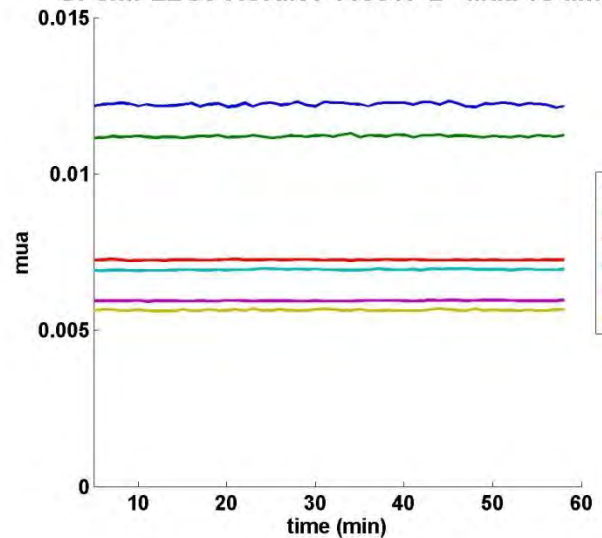
MGH-LBS7-ACRIN6-110827 - Mua vs time



UCSF-LBS4-ACRIN3-110829 - Mua vs time

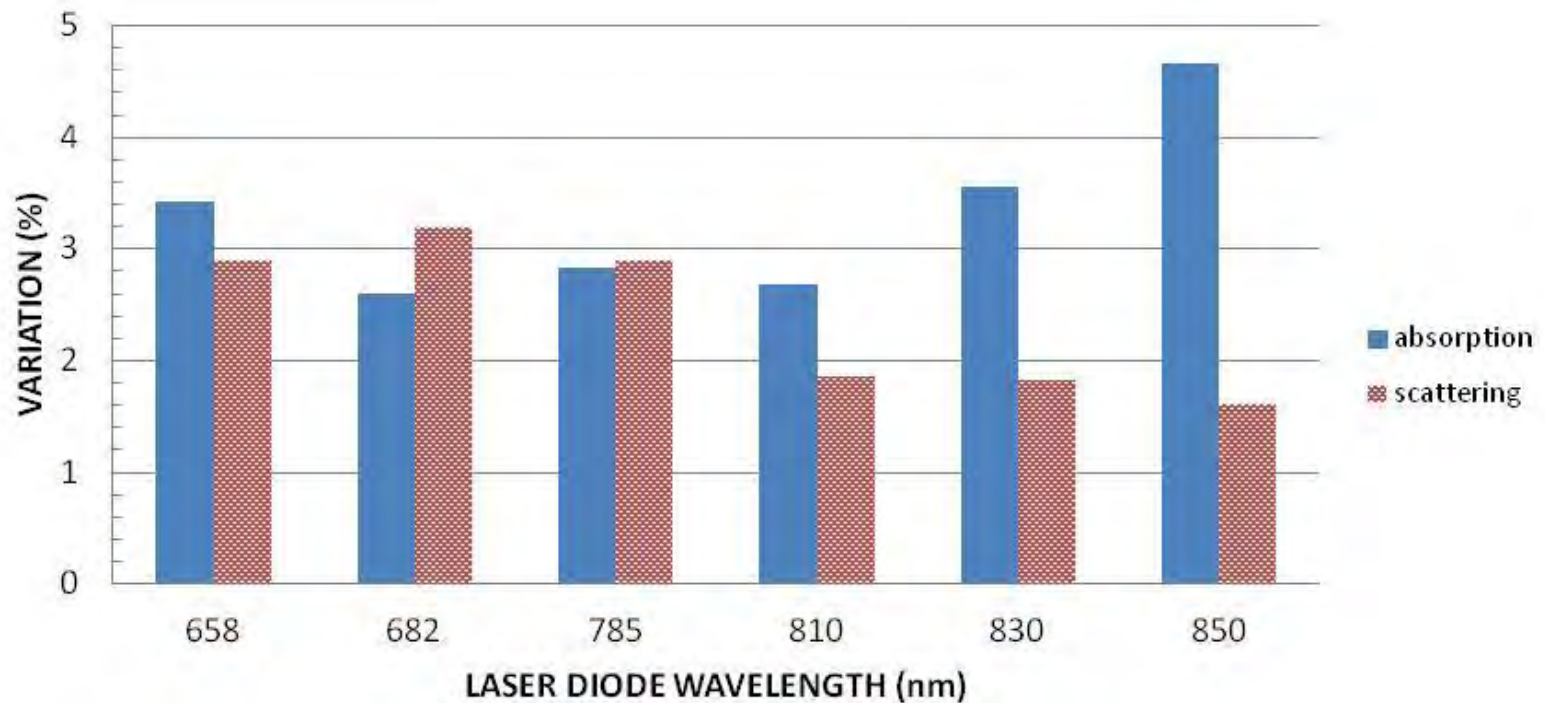


UPenn-LBS8-ACRIN4-110817-2 - Mua vs time



Multi-Site Validation

180 phantom measurements @ UC Irvine & Dartmouth



CITATION



**Where can I get one of these cool
phantoms?**



Phantom “eBay”

- We will train you (please come visit)
 - *lammp.bli.uci.edu*
- You can purchase via (Tony Durkin)
 - *lammp.bli.uci.edu/education/phantoms.php*
- You can purchase commercially
 - *www.ino.ca/en/products/biomimic-optical-phantoms/*



What does the ACRIN data look like?

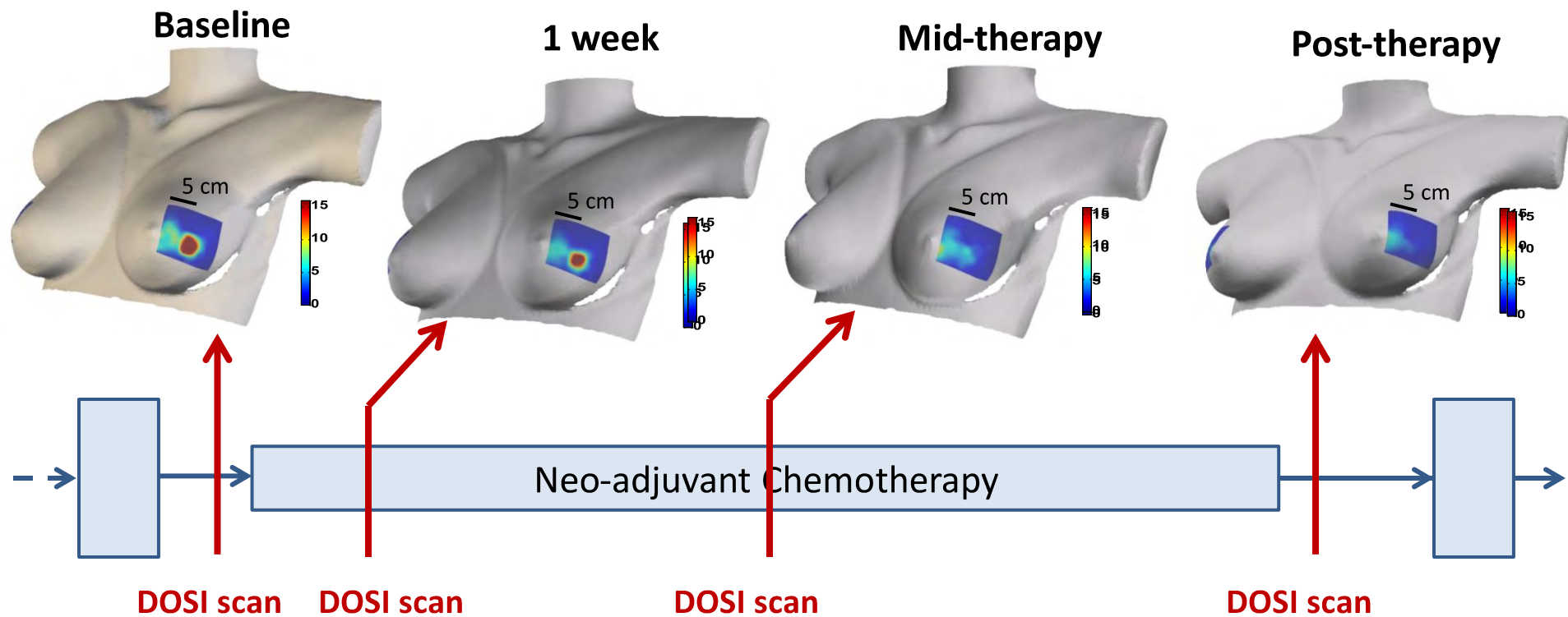
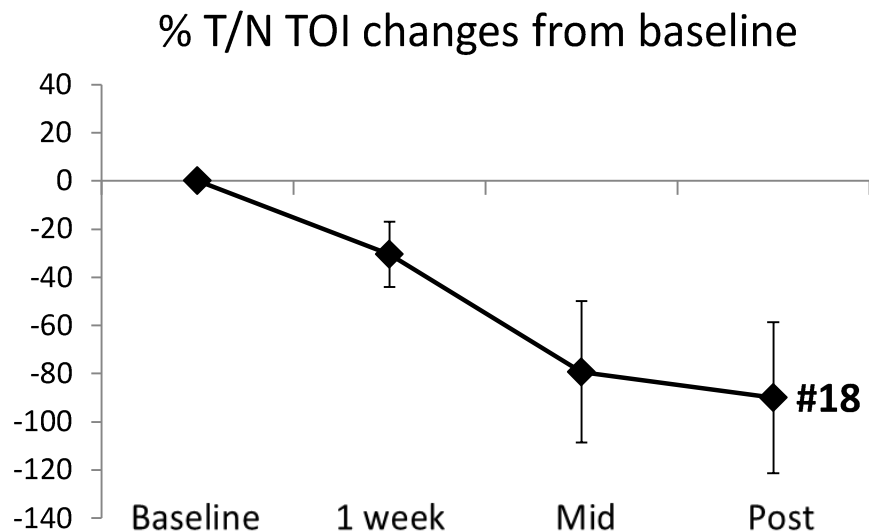


ACRIN Endpoint Data

- Data locked by ACRIN (all completed)

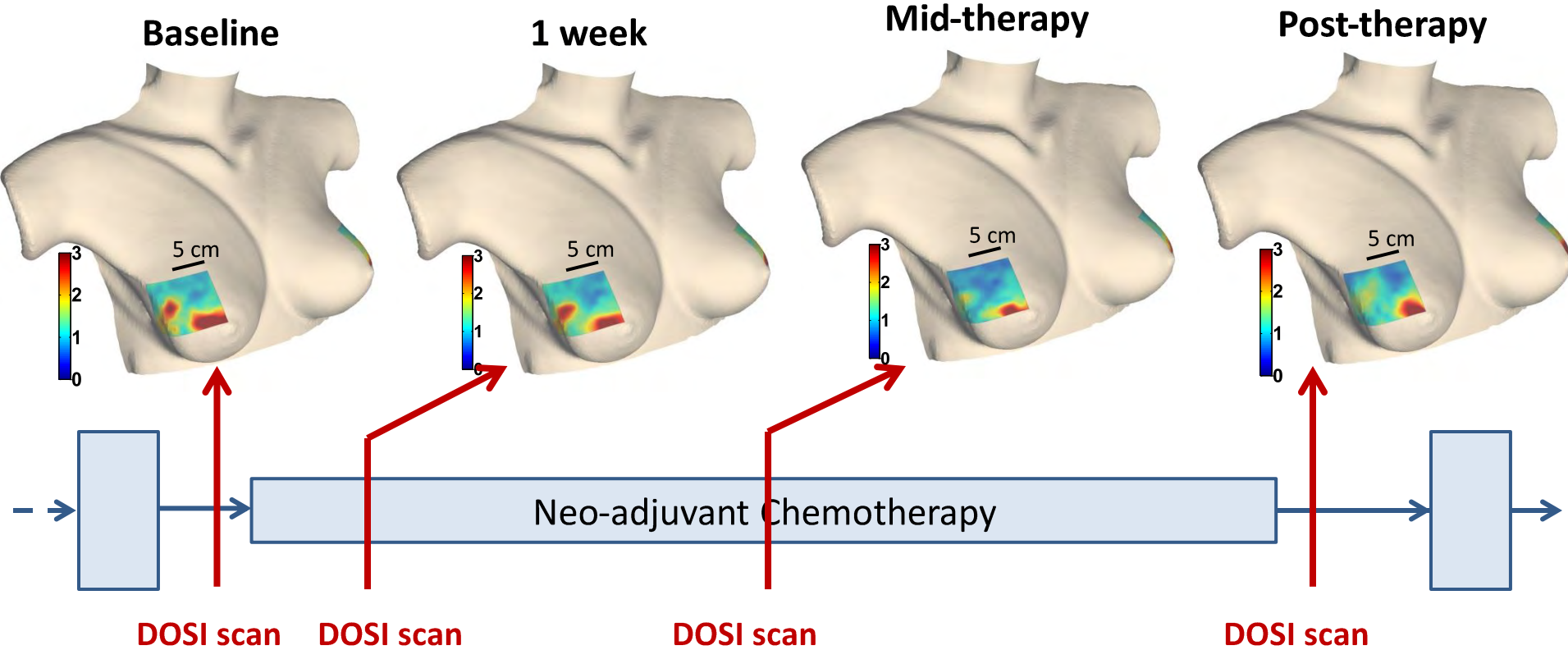
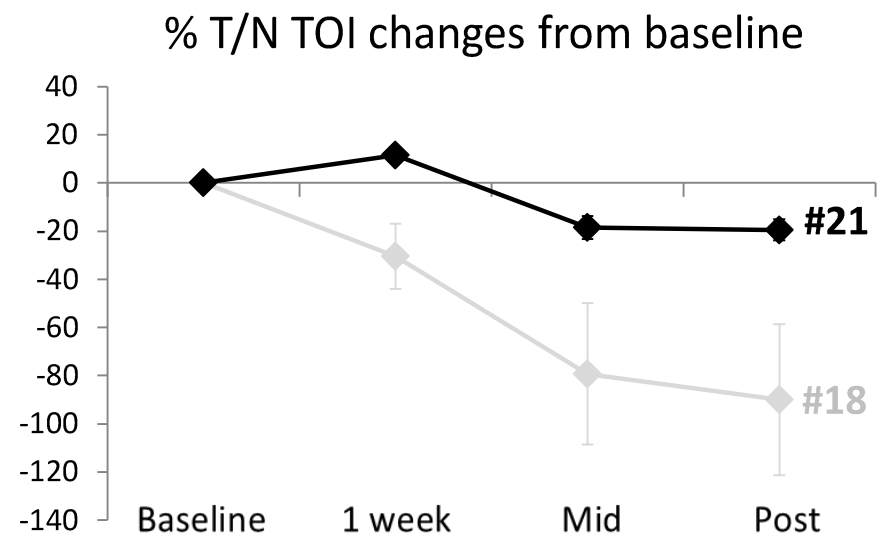
Clinical timeline

6691-18



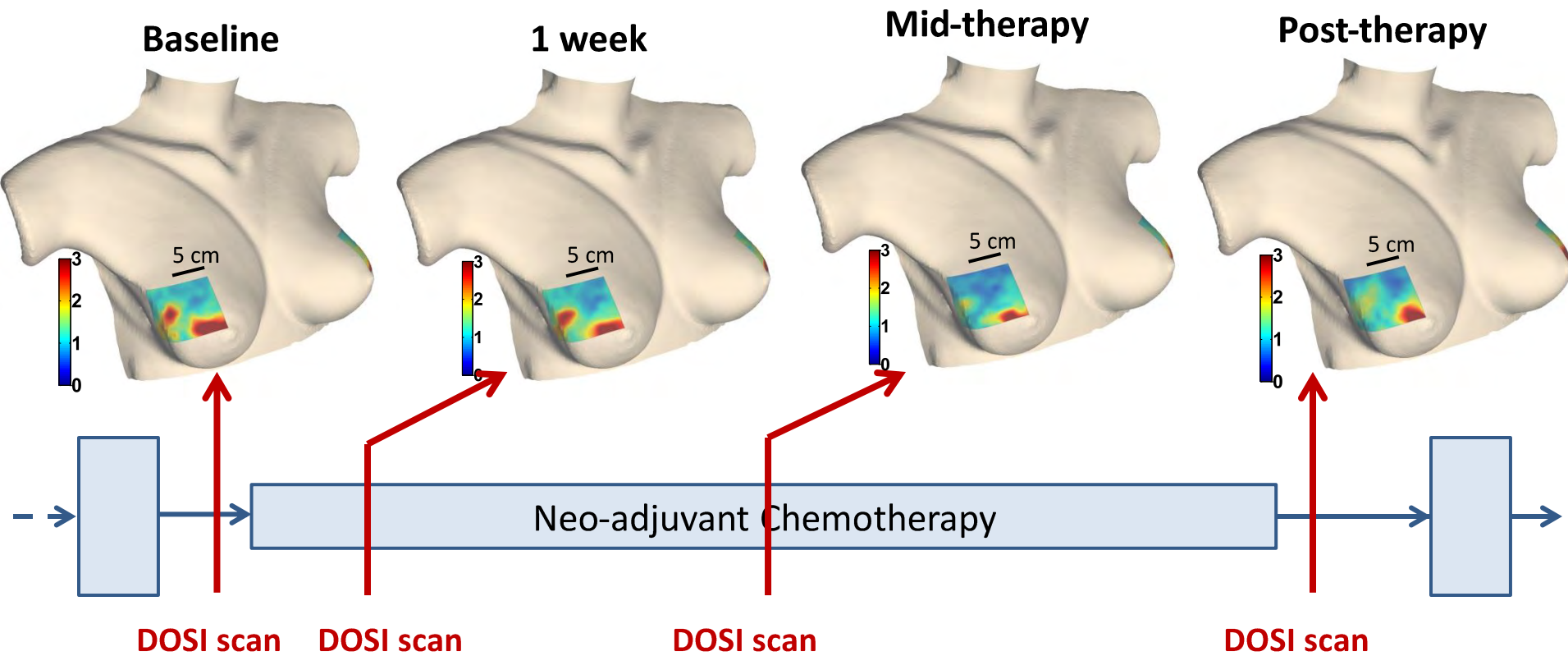
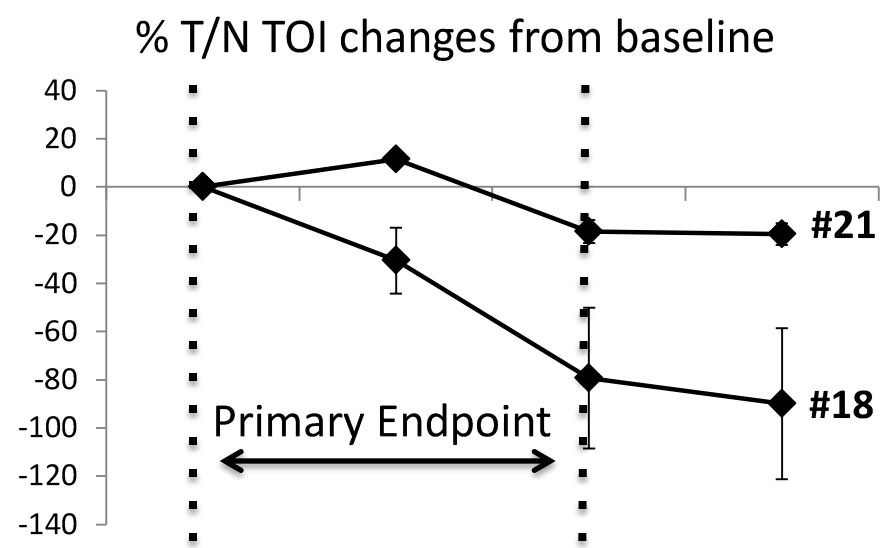
Clinical timeline

6691-21



Clinical timeline

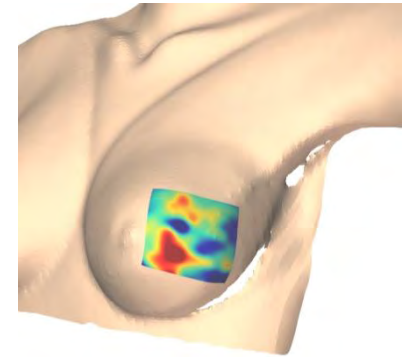
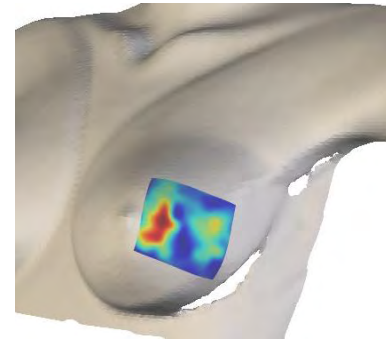
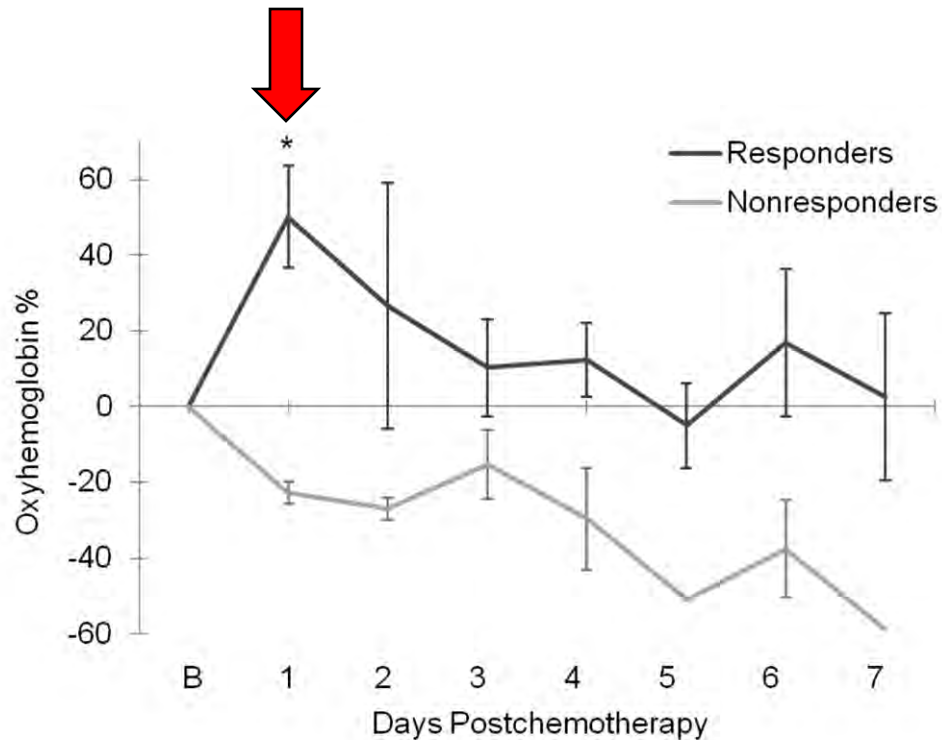
6691-21



What are some future directions?



Week 1 Oxyhemoglobin

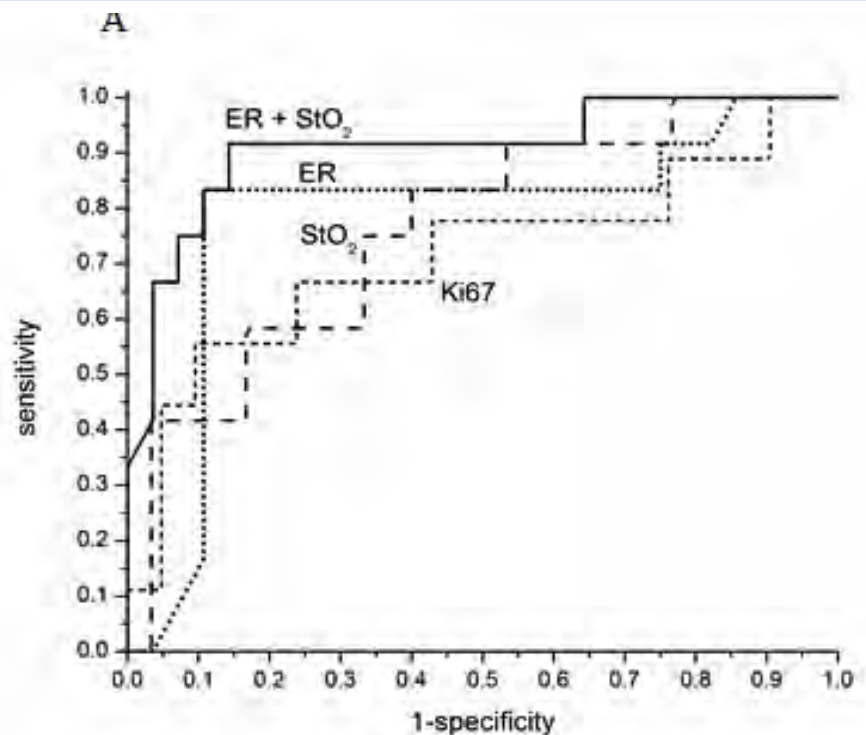


Roblyer *et al.*, PNAS, 2011. **108**(35): p. 14626-14631

17 subjects measured at least 3 times during the first 7 days of therapy

Clinical Impact:
Identify NON-Responders

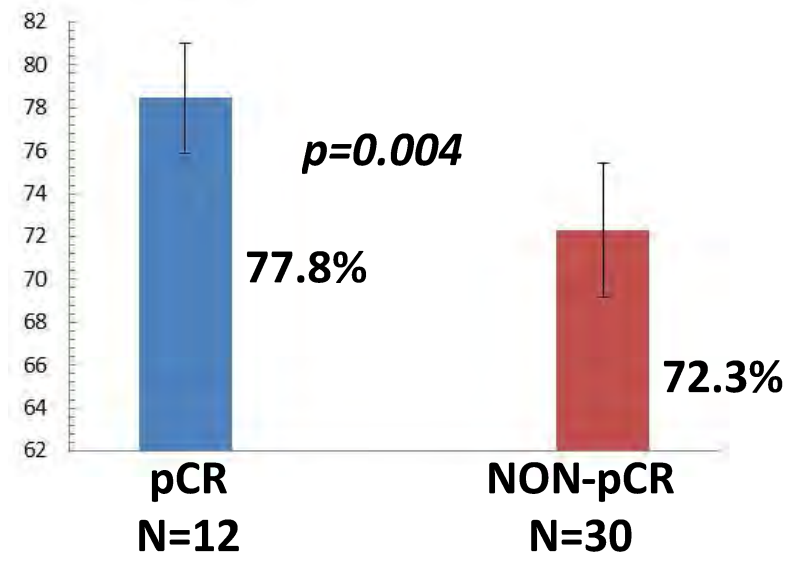
Pre-Treatment Biomarkers



stO₂, Sense ~ 75%, Spec ~ 68%

stO₂+ER, Sense ~ 100%, Spec ~ 86%

METABOLISM + MOLECULAR SUBTYPES PREDICTS RRESPONSE

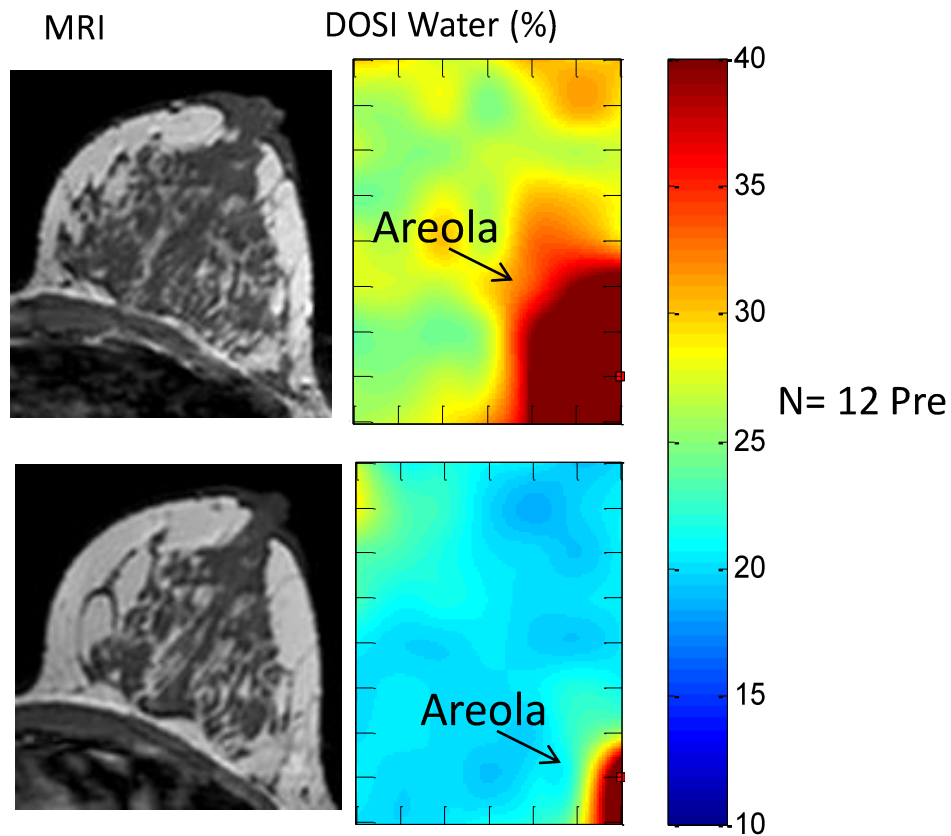


S. Ueda, D. Roblyer, et al, Can Res, (2012)

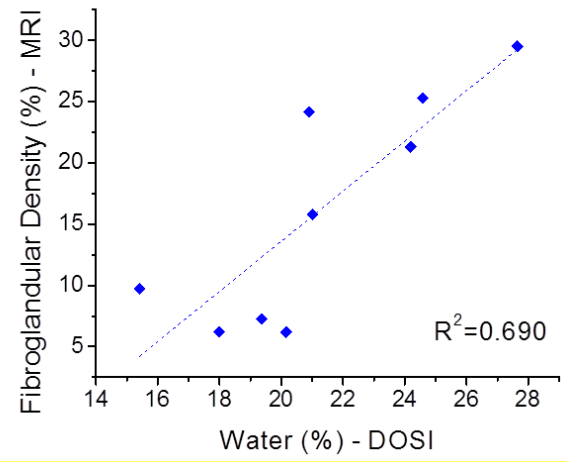
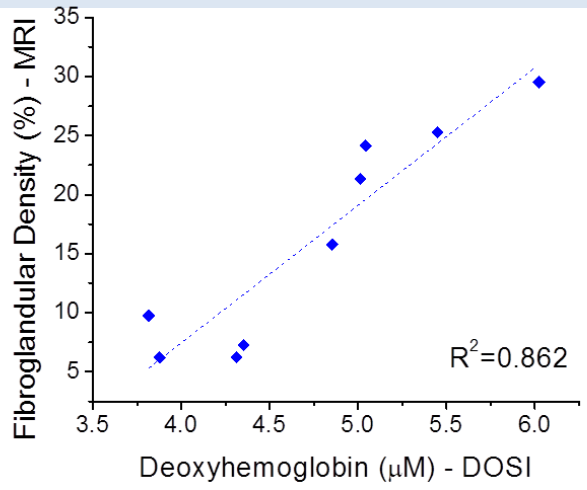
Quing Zhu, et al. Radiology 2013 (Total Hb)

Clinical Impact:
Predict Response before Therapy

Breast Density and Risk



T. O'Sullivan *et al.* Breast Cancer Research, 2012



Clinical Impact:
Risk assessment; Determine Response to Hormone Blocking (e.g. Tamoxifen) Therapy

Summary

- **DOSI sensitive to tumor perfusion, metabolism, composition**
 - *Potential Prognostic Biomarkers*
- **Therapy-induced changes monitored frequently at bedside**
 - *Feedback to guide treatment: Drug selection, Dosing schedule, New Drugs, Chemoprevention*
- **Principles Can be Generalized to Other Solid Tumors**
 - *Colo-rectal, Head and Neck, Sarcomas, etc.*
- **Clinical Adoption of Optics Requires Outcome-Based Studies**
 - *Evidence-based Medicine, Personalized Care*



Acknowledgments



Thanks to the patients who
generously volunteered their time
for this study.

**NIBIB P41 Laser Microbeam and
Medical Program (LAMMP)**

**NCI U54 Network for Translational
Research; NCI R01CA142989**

**NCI Chao Family Comprehensive Cancer
Center**

**American College of Radiology Imaging
Network (ACRIN)**

DOD Breast Cancer Research Program

Beckman Foundation

