

The Present and Future of Pharmaceutical Quality

Sau (Larry) Lee, Ph.D.

Deputy Director of Science
Office of Pharmaceutical Quality
Center for Drug Evaluation and Research
U.S. Food and Drug Administration

MCERSI Co-Processed API and Regulatory Requirements July 13, 2022



A quality product of any kind consistently meets the expectations of the user – drugs are no different.

Patients expect safe and effective medicine with every dose they take.

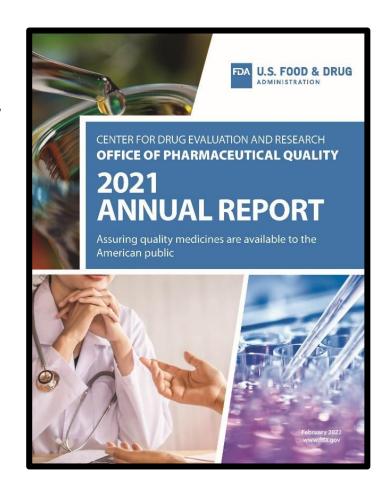
Pharmaceutical quality is assuring *every* dose is safe and effective, free of contamination and defects.

It is what gives patients confidence in their next dose of medicine.

Outline



- Quality Management Maturity
- Advanced Manufacturing
- Co-Processed API









Quality Management Maturity

Quality Metrics

Leadership Commitment to Quality

Business Continuity Quality Culture

Communication and Collaboration

Sustainable Compliance

Customer Experience

Enhanced Pharmaceutical Quality System (PQS)

Advanced Analytics

Employee Ownership and Engagement

Continual Improvement Risk Management

Manufacturing Strategy and Operations

Productivity Optimization (5S)

An Array of Quality



Pharmaceutical Quality

Gives patients confidence in their **next** dose of medicine

Gives manufacturers confidence every batch will be acceptable to release	QUALITY MANAGEMENT CDER Confidence: Low	Performance and patient focus identifies areas of improvement and implements changes
Gives manufacturers confidence in every batch they release	PROCESS QUALITY CDER Confidence: High	Manufacturing risks are controlled to provide a quality drug product
Gives patients confidence in every dose they take	PRODUCT QUALITY CDER Confidence: High	Every dose is safe and effective and free of contamination and defects

The Promise of QMM



BUILDING RESILIENT SUPPLY CHAINS, REVITALIZING AMERICAN MANUFACTURING, AND FOSTERING BROAD-BASED GROWTH

100-Day Reviews under Executive Order 14017

June 2021

A Report by
The White House

Including Reviews by
Department of Commerce
Department of Energy
Department of Defense
Department of Health and Human Services

FDA should lead the development of a framework to measure and provide transparency regarding a facility's quality management maturity with engagement from industry, academia, and other stakeholders.

100-Day Report byThe White House







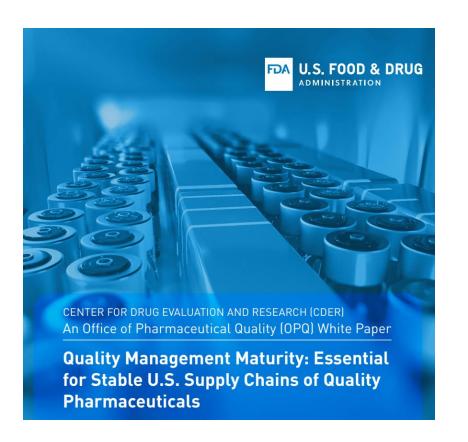
QIM # QM

QMM = f(QM, x, y, z...)

Road to Achieving QMM

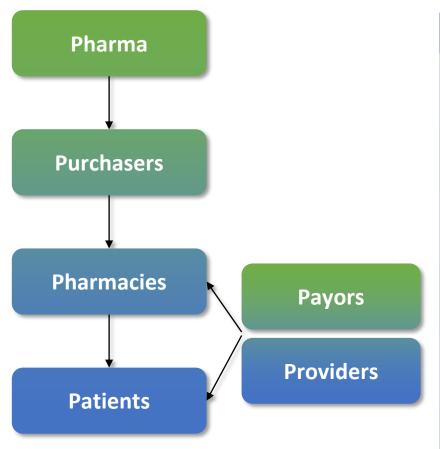


- QMM white paper released April 5
 - Importance of QMM
 - Key challenges and elements for successful QMM implementation
- QMM stakeholder workshops to be held May 24-25
- QMM Advisory Committee
 meeting to follow at a later date



"6 Ps" Impacted by QMM Ratings





Stakeholder	Benefits	
Pharmaceutical Manufacturers	 ✓ Positive and proactive performance acknowledged ✓ "Good actors" rewarded 	
Purchasers ³	 ✓ Improved supply chain transparency for decision-making ✓ Quality ratings backed by FDA insight and non-public data 	
Pharmacies	 ✓ Improved supply chain transparency ✓ Less risk of failing to meet demand and medication error 	
Payors	 ✓ Improved supply chain transparency for decision-making ✓ Less need to respond to drug shortage 	
Providers	 ✓ Less risk of drug shortage impacting their patients ✓ More confidence in the supply of drugs they prescribe 	
Patients	 ✓ Less risk of drug shortage impacting their care ✓ More confidence in drug availability 	





What is Advanced Manufacturing?



- Novel manufacturing methods to improve process robustness and efficiency
- Novel dosage forms or delivery systems to improve drug delivery and targeting
- Novel analytical tools to improve product characterization, quality testing, process monitoring and/or control





Advanced Manufacturing Benefits



Advanced manufacturing can improve manufacturing and ensure quality medicine is available.



Produce better quality medicine. Facilitates six-sigma operation, no more than 3.4 defects per 1M opportunities.



Re-shore drug manufacturing facilities. Helps domestic drug manufacturers compete in a global market.



Develop drugs rapidly. Speeds the development of novel or patient-focused therapeutics.



Prevent drug shortages. Reduces today's quality-related manufacturing issues causing 62% of drug shortages.



Improve emergency preparedness. Provides more agility and flexibility to help pivot in a public health emergency.

CDER's Regulatory Approaches



Science and risk-based approaches

- CDER supports **Intramural and Extramural Research** to:
 - Understand key ADM concepts and identify ADM specific risks to product quality
 - Develop a framework for control strategy considerations

Regulations and guidance

- Existing regulations and ICH guidances (e.g., Q8, Q9, Q10, Q11 and Q12)
 - Generally applicable to ADM (e.g., continuous manufacturing (CM))
- Emerging Technology Guidance and MAPP
- Framework for Regulatory Advanced Manufacturing Evaluation (FRAME)

Regulatory Assessment

- Early engagement with CDER's **Emerging Technology Program** to address scientific and regulatory gaps
- Pre-operational visits (POVs)
- Integrated application and facility assessments including pre-approval inspection

Maturation of regulatory basis

- Evolution of regulatory basis as experience gained with CM regulatory applications
- Knowledge management
- Regulatory guidance (e.g., FDA on Continuous Manufacturing and/or ICH Q13)

Emerging Technology Program



Advancement of
Emerging Technology
Applications for
Pharmaceutical
Innovation and
Modernization
Guidance for Industry

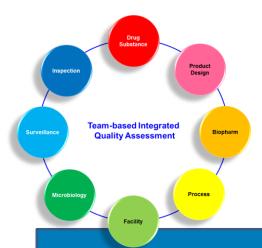
U.S. Department of Health and Human Services Food and Drug Administration Center for Drug Evaluation and Research (CDER)

September 2017

Industry Develops
Emerging
Technology



ETP Evaluates
Technology



Technology Moves to Standard Quality Assessment Processes

Acceptance to ETP

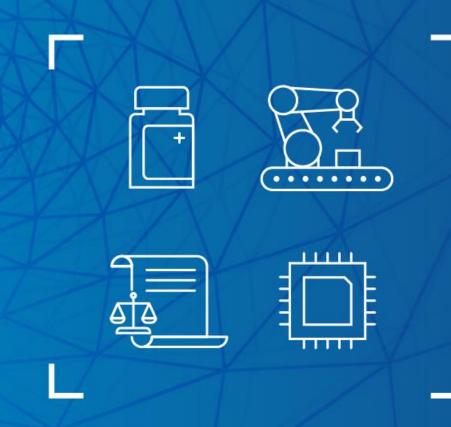
Graduation



ETT Technology Pipeline: Examples

Small Molecules	Therapeutic Proteins	Multiple Products
 Continuous manufacturing of drug substance and product End-to-end continuous manufacturing Pharmacy-on-demand Model-based control strategy for continuous manufacturing Continuous aseptic spray drying 3D printing manufacturing Pre-fabricated, mobile manufacturing modules Ultra long-acting oral formulation 	 Controlled ice nucleation for lyophilization processes Advanced process control Multi-attribute method for quality control Continuous manufacturing for a downstream process End-to-end integrated bioprocess Pre-fabricated, mobile manufacturing modules Pharmacy-on-demand 	 Closed aseptic filling system Isolator and robotic arm for aseptic filling Novel container and closure system for injectable products





Framework for Regulatory Advanced Manufacturing Evaluation (FRAME)

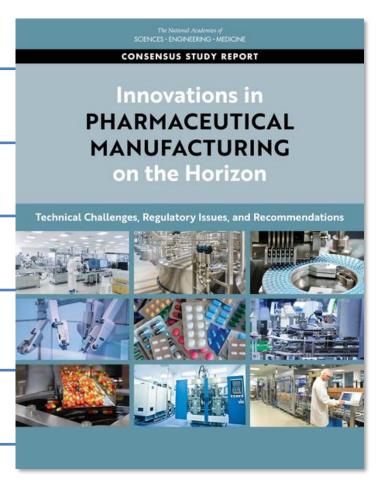
FRAME: Framework for Regulatory Advanced Manufacturing Evaluation



Establish a regulatory framework that provides clarity and reduces uncertainty for products manufactured with advanced technologies

The framework will need to address both current and future manufacturing innovation.

Scope: CDER's submission pipeline in the next 5-10 years*.



^{*}In NASEM's <u>Innovations in Pharmaceutical Manufacturing on the Horizon: Technical Challenges, Regulatory Issues, and Recommendations</u>



FDA

- FDA draft guidance on continuous manufacturing for solid oral products (Published in February 2019)
- FDA is working on the development of ICH Q13 on continuous manufacturing of drug substances and drug products – both small and large molecules
 - Reached Step 2 in June 2021



ICH Q13 Expert Working Group



INTERNATIONAL COUNCIL FOR HARMONISATION OF TECHNICAL REQUIREMENTS FOR PHARMACEUTICALS FOR HUMAN USE

ICH HARMONISED GUIDELINE

CONTINUOUS MANUFACTURING OF DRUG SUBSTANCES AND DRUG PRODUCTS Q13

Draft version

Endorsed on 14 June 2021

Currently under public consultation

At Step 2 of the ICH Process, a consensus draft text or guideline, agreed by the appropriate ICH Expert Working Group, is transmitted by the ICH Assembly to the regulatory authorities of the ICH regions for internal and external consultation, according to national or regional procedures.

OPQ Product Development Science Capabilities

Intramural Research

Novel Manufacturing Methods (10 projects)

Precision Analytics (16 projects)

Advanced Manufacturing of Biopharmaceuticals (11 projects)

Manufacturing of Glycoproteins (3 projects)

Manufacturing of Synthetic Nucleic Acid Sequences (1 project)

Process Modeling, and Artificial Intelligence (AI)/ Machine Learning (ML) (4 projects)

Projects generated more than 65 internal reports and publications



Continuous perfusion bioreactor

FDA





Product Development Science Program - Extramural

Extramural collaborations via grants and contracts

Industry 4.0 and Smart Manufacturing (3 projects)

Novel Manufacturing Methods (6 projects)

Novel Process Analytical Technologies (4 projects)

Process Modeling and Simulation (2 projects)

Advanced Manufacturing Training (1 project)

Projects generated more than 13 publications





Continuous manufacturing of lipid nanoparticles (UConn)



End to End continuous manufacturing (Continuus)



Continuous biopurification (Chromatan)



Continuous direct compression (Rutgers)





Co-Processed API



- A new technology that was highlighted in CDER-sponsored report on *Innovations in Pharmaceutical Manufacturing on the* Horizon
- An innovation in the manufacture of APIs
 - addition of a nonactive excipient or carrier to improve yields or to manipulate attributes of a process stream to achieve a desired outcome
- May be advantageous in particle formation, crystallization, or drying operations to improve the stability of a desired solid state or to tailor physical properties of the drug substance.

Co-Processed API



- Co-Processed APIs-Scientific and Regulatory
 Considerations for New Drug Development, Rapti
 Madurawe, PhD, Division Director, OPMA, OPQ, CDER,
 FDA
- An FDA Perspective on Regulatory Considerations for Co-Processed APIs, Laurie Graham, PhD, Director, OPPQ, OPQ, FDA
- Global Regulatory Harmonization Challenges and Opportunities, Mahesh Ramanadham, PharmD, MBA Deputy Director, OPPQ, OPQ, CDER, FDA





Patients deserve confidence in their next dose of medicine.

We remain committed to giving it to them.

